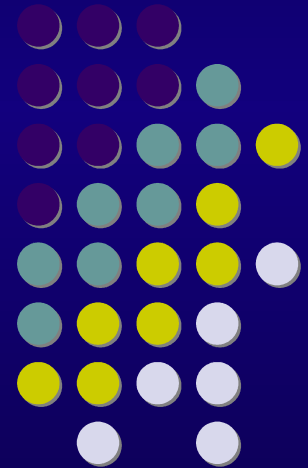


New York State Department of Transportation  
Technical Support and Strategic Plan Development

## NYSDOT Task 2.A Presentation Workshop

Hawthorne, NY  
September 23, 2005



under subcontract to Siemens ITS

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# Presentation Outline



- **Purpose of the Workshop:**

To gather feedback and to review a process to incorporate regional ITS architecture and ITS standards into NYSDOT's Project Development Process.

# Presentation Outline



## ITS Standards

What are ITS Standards and where do they fit?

## Standards in NYS Projects

ITS Standards in the NYSDOT Project Development Process

## PSEA

Project Systems Engineering Analysis

## Specifying ITS Standards

Level of detail when specifying ITS Standards

# Presentation Outline



- **Motivation**

1. You want to connect (share information) with your neighbors
2. You need to develop good specifications for your ITS projects (interactive exercise)
3. NYSDOT is working to develop a common approach to integrating regional ITS architecture and ITS standards with your Project Development Process
4. Interactive discussion to gather feedback on “Best Practices for ITS Standards Specifications Report”

# Presentation Outline



- NYSDOT Technical Support & Strategic Plan Development Task
  - 2.A Subtasks
    1. Review of National Standards and Testing Programs
      - Draft Final Report. Used as a “desk reference”.
    2. Best Practices for ITS Standards Specification
      - Draft Report – will be updated based on today’s workshop
    3. Key ITS Standards for NYS and Testing Opportunities
      - Reviewing preliminary information today
    4. Standards Specification Guidance
      - Will build upon subtasks 2 & 3
    5. Course Development: "Using ITS Standards for Deployment: Identification, Specification, and Testing"
      - Will build upon subtask 4 and input from today’s workshop



# Presentation Outline

- **Agenda (AM – Project Programming)**
  - Introductions
  - Exercise #1
  - ITS Standards Overview
  - BREAK
  - Overview of the NYSDOT Project Development Process (Project Programming)
    - Initial Project Proposal
    - Transportation Improvement Program
    - Regional ITS Architecture
    - Project Scoping
  - Exercise #2



# Presentation Outline

- Agenda (PM – Project Design and Specification)
  - Review of Key ITS Standards
  - Exercise #3
  - BREAK
  - Systems Engineering Overview
  - Project Systems Engineering Analysis
  - Overview of the NYSDOT Project Development Process (Project Design)
    - Design Reports
    - Advanced Detailed Plans
    - Plans, Specifications & Estimates
  - Testing Standards



# Introductions and Administration - Please Sign-In

- **Brief Introduction**
  - Name
  - Organization
  - What is your role in ITS?
  - What area do you need help in implementing ITS and ITS standards?
- **Safety and Comfort Announcements**
  - Exits
  - Restrooms
  - Today's adjournment





# Exercise #1



# Exercise # 1 – Interagency Coordination



**Problem:** A major truck accident with spilled chemicals has just occurred, causing a blockage of one interstate highway and rerouting of all traffic to a bypass facility running through an adjacent region. You have to notify the other region.....



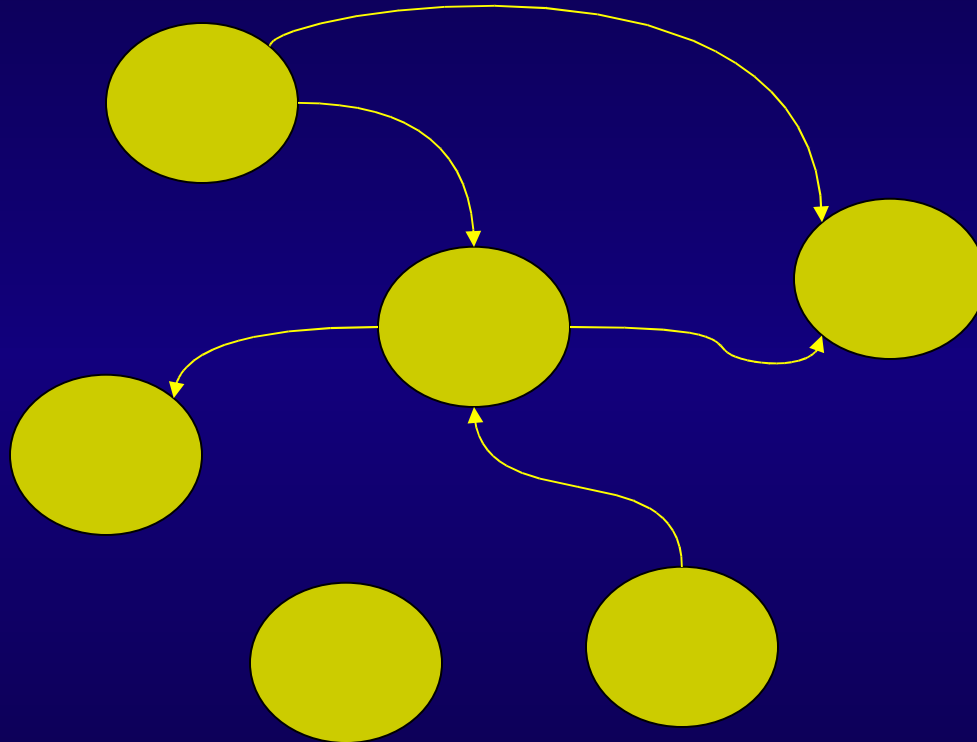
**Your Task:** Determine the following:

1. Who will you contact to relay this information ?
2. What information will be conveyed ?
3. How will this be done – now, and in the future ?

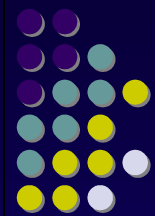
# Exercise # 1 – Class Discussion



Coordinating with other partners in your region.



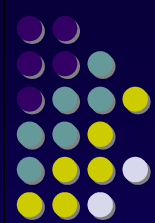
# Exercise # 1 – Class Discussion



Coordinating with other partners in your region.

<b>Who Will Contact Who</b>	<b>What Information Will You Convey</b>	<b>How Will This Be Done Currently</b>	<b>How Will This Be Done in the Future</b>
<i>DOT District to DOT District</i>	<i>Message on DMS Signs</i>	<i>Telephone</i>	<i>System to System</i>

# Exercise # 1 – Class Discussion



- What have we learned ?
  - Communication with our regional partners is important.
  - Incidents can have a dramatic impact on neighboring jurisdictions.
  - Better understanding of real world impacts.
- We will be discussing standards for the deployment of interoperable systems

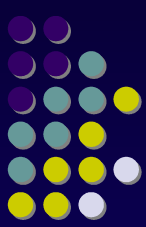




# Overview: ITS Standards



# What is a Standard?



MUTCD?

- Power Cord?
- Railroad Track?
- *Standards can be defined as:*

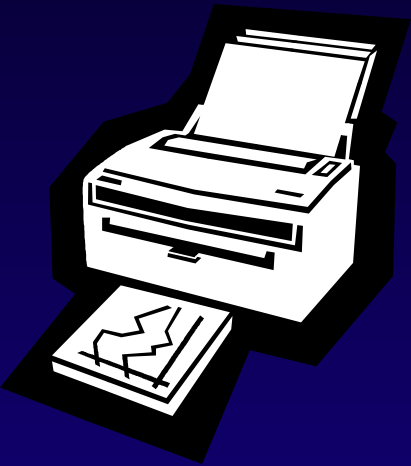
- *“Prescribed set of rules, conditions or requirements concerning definition of terms and classification of components; specification of materials, performance or operation; definition of procedures; or measurement of quantity and quality in describing materials, products, systems or practices.”*

- National US Policy

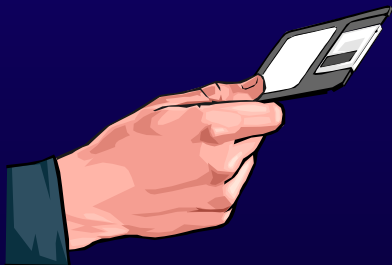


And this page is written on 8 1/2" x 11" paper.

# Types of Standards

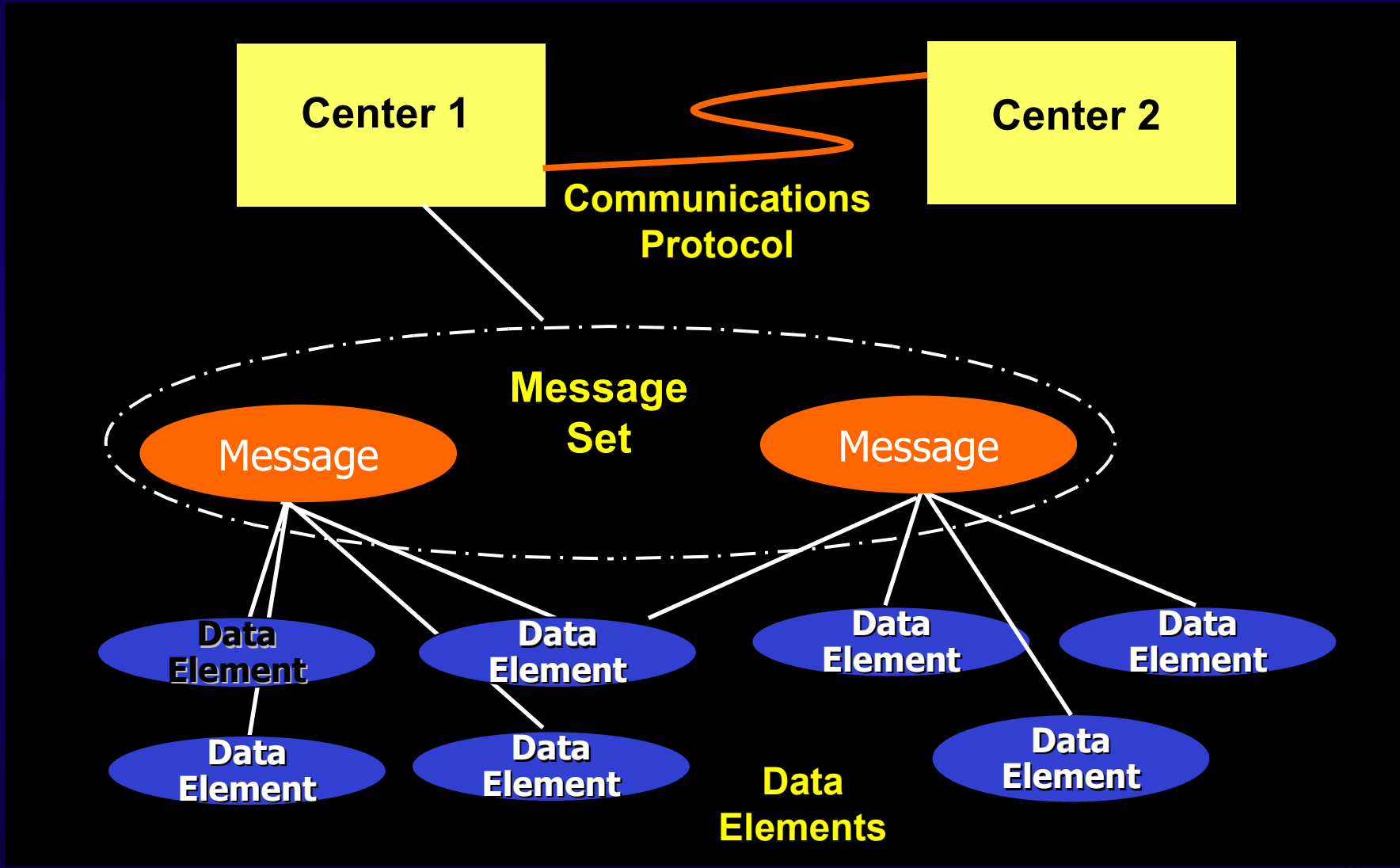
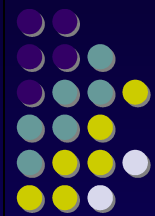


- Hardware
- Software
- Equipment
- Performance
- Maintenance
- Practices





# ITS Standards Structure



# ITS Standards Structure



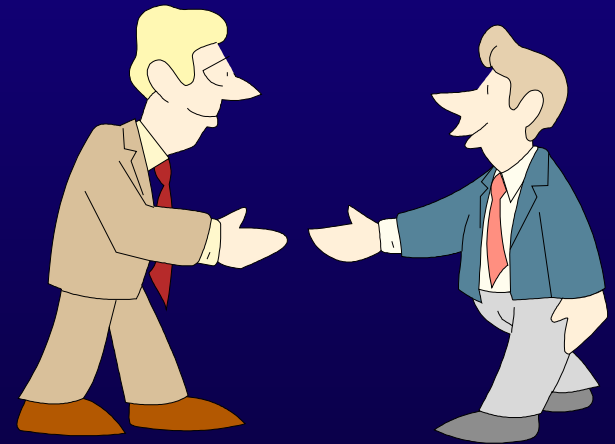
- Data Elements → “words”
- Message Set → “sentences”
- Communications Profile → “rules for sending sentences across an interface”



# What is a Protocol?



- Protocols standards are set of common rules for exchanging data:
  - Data format
  - Control information coordination
  - Error handling
  - Timing



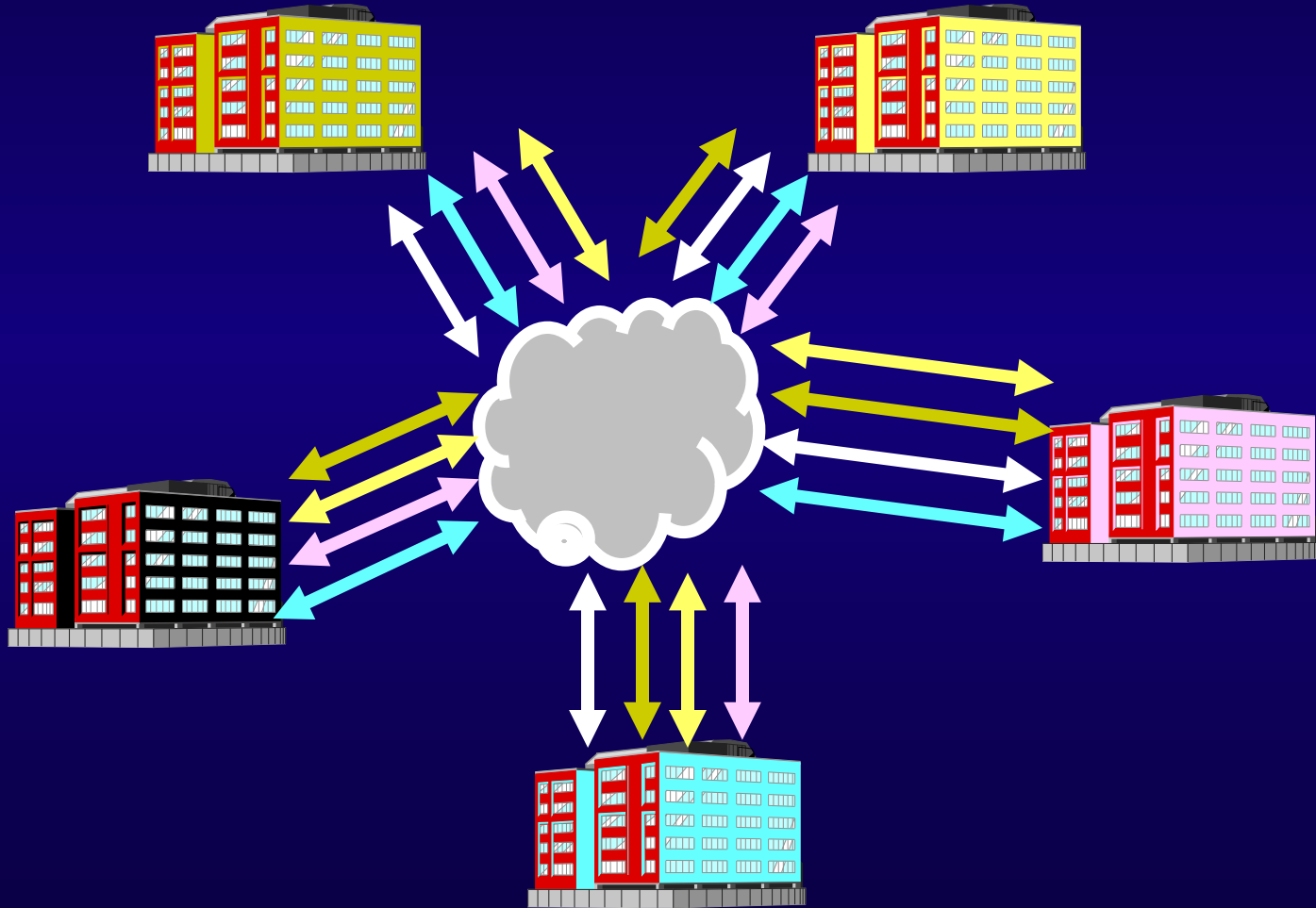
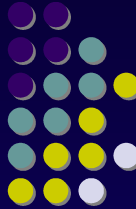
# Examples of Internet Protocols



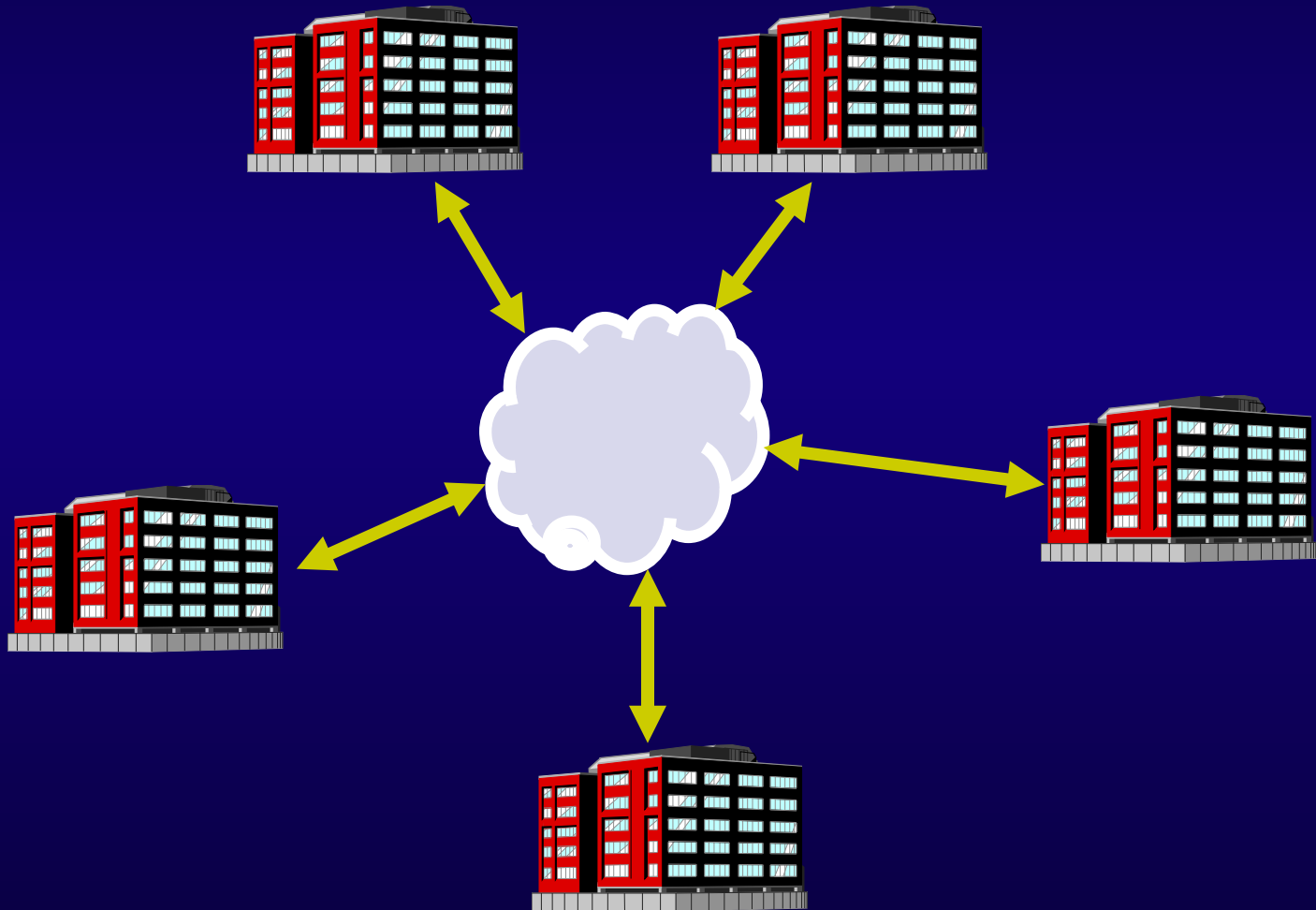
- Hypertext Transfer Protocol (HTTP)
- File Transfer Protocol (FTP)
- Transmission Control Protocol (TCP)
- Internet Protocol (IP)
- Simple Network Management Protocol (SNMP)



# Without Standard: Many Interfaces Needed



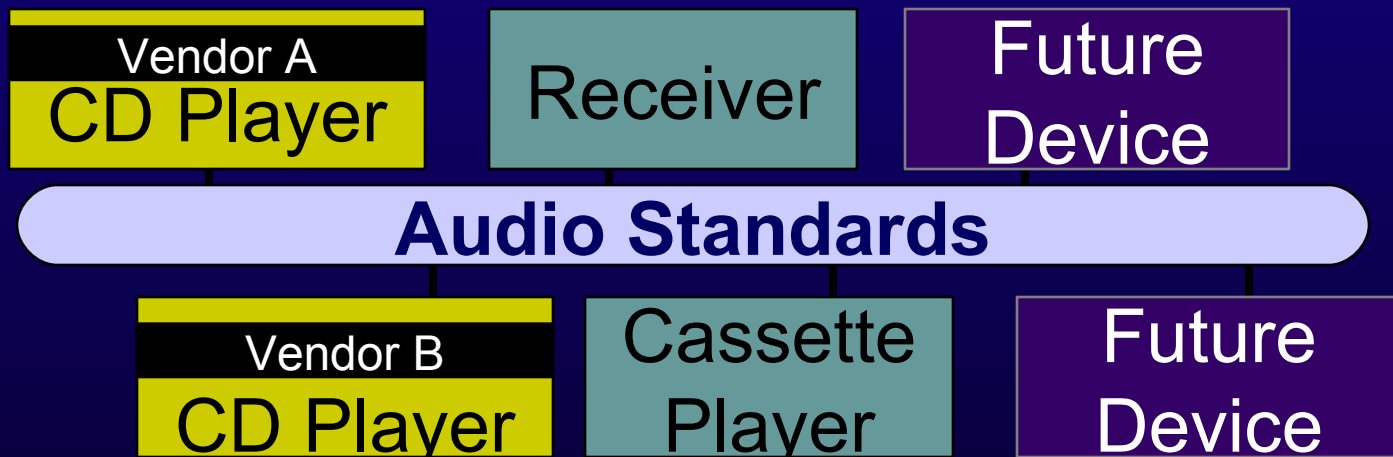
# With Standard: Just one Interface



# Open Standards Lead to...



- Interchangeability - multiple brands of a device on the same communications channel
- Interoperability - different types of devices on the same communications channel
- Expandability - add future devices





# How do ITS Standards fit into the NYSDOT Project Development Process?





# Agency's Perspective – What are the Issues?



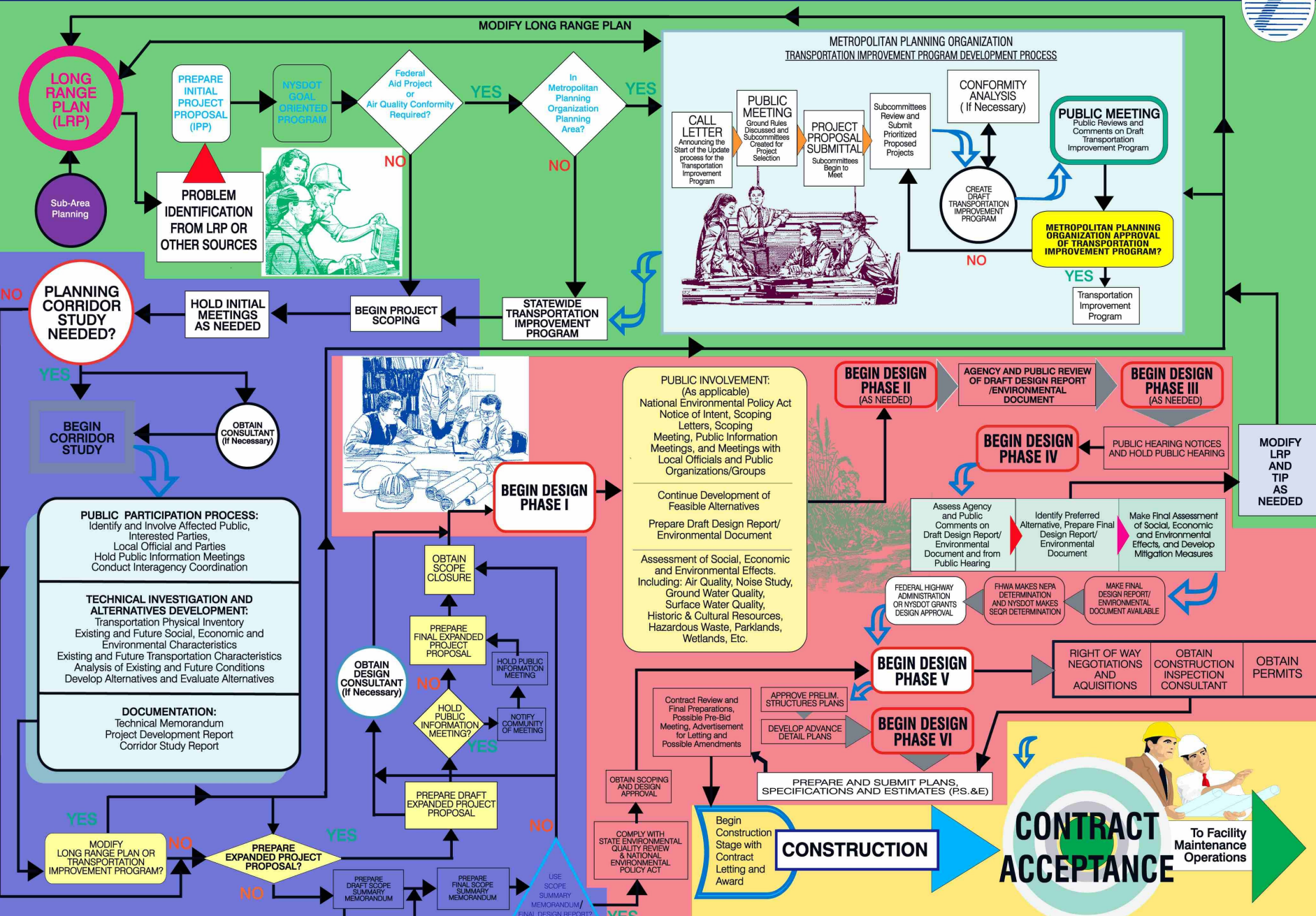
- **Integration of Systems across Institutional Boundaries**
  - How does a region facilitate regional integration of systems?
- **Transportation Planning / Project Scoping**
  - How to define ITS projects that are in compliance with regional and not only agency-specific needs?
  - How to define ITS projects consistent with the regional ITS architecture?
  - What is the role of ITS architecture and standards in presenting high-level “solutions” to transportation needs and problems?

# Agency's Perspective – What are the Issues?



- **Design Report Development**
  - How and where to address ITS Architecture and Standards?
  - How to evaluate ITS project design (solution) alternatives?
- **Advanced Detail Plans (ADP) & Plans, Specifications & Estimates (PS&E)**
  - How do you develop an ITS Standards-based specifications that reflect the final design?
- **System Testing during Design and Build**
  - Unit and/or Factory Testing of ITS Standards-based specifications
  - Field Testing
  - System Acceptance Testing

# NYS DOT PROJECT DEVELOPMENT PROCESS





# NYSDOT Project Development Process

- Initial Project Proposal (IPP)
- Transportation Improvement Program (TIP)
- Design Phase I
  - Project Scoping Report
- Design Phase IV
  - Preliminary Design Report
  - Detailed Design Report



# NYSDOT Project Development Process

- Design Phase V
  - Advanced Detailed Plans
  - Plans, Specifications and Estimates (PS&E)
    - Test Plan
- Construction
  - Testing
- Contract Acceptance

## Exercise # 2 – ITS Project Programming



**Problem:** Based on the Incident Scenario, program an ITS project.

**Your Task:** Determine the steps to program the project?

4. Develop an Initial Project Proposal
5. Outline the steps to incorporate the IPP into the TIP
6. Issue: Should an ITS project be checked for consistency with the Regional ITS Architecture as part of ITS Project Programming?



- Class discussion

Time 15 minutes



# Overview: National and Regional ITS Architecture



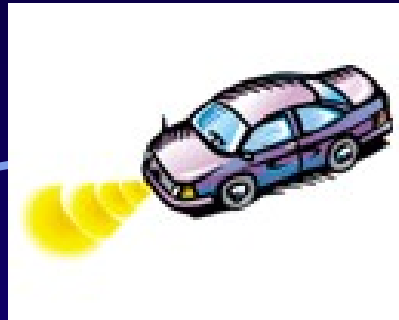
# There are many technologies used in ITS Systems.



- Detection technology
- Surveillance (Video) technology
- Wireless technology
- Fiber optic technology
- Traffic control systems
- Work-zone management technology
- Tracking technology
- GIS/GPS technologies
- AVL technology
- E-ZPass technology
- Others.....

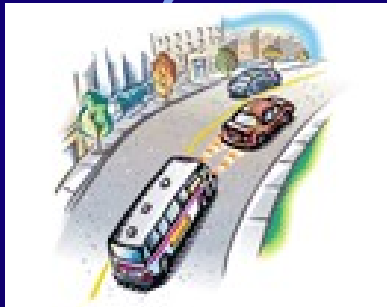


# How do we PLAN to use and integrate these technologies in ITS?

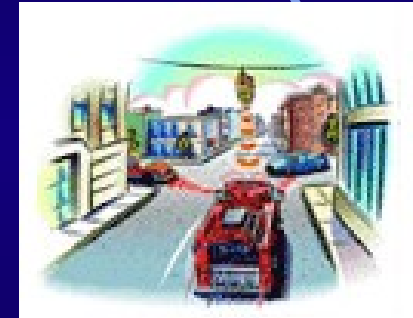


**Vehicle-Based/Safety**

## Management/Operation/HW-SW



**Vehicle-to-Vehicle**



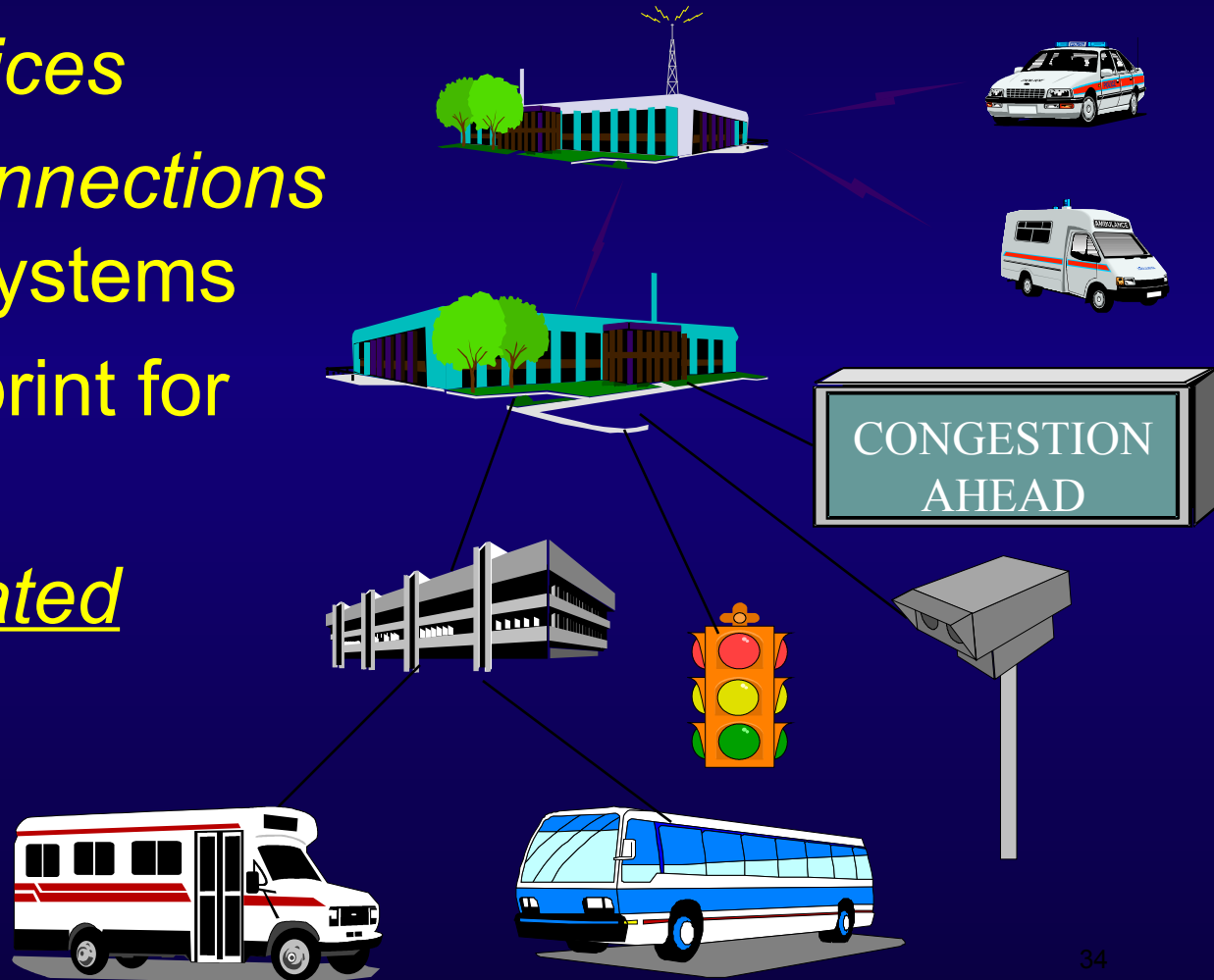
**Vehicle-to-Roadside**



**Vehicle-Based/Navigation**

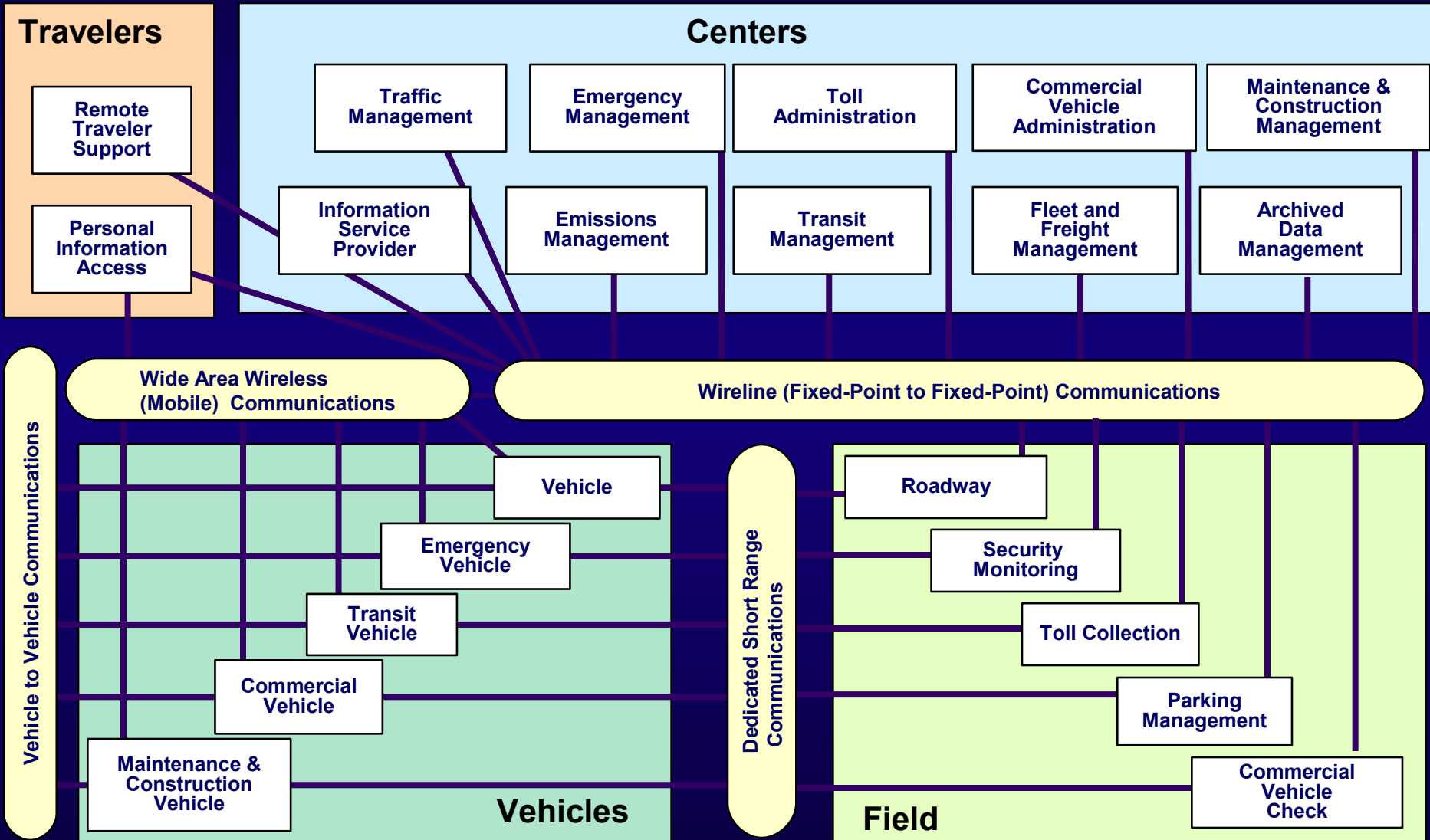
# The National ITS Architecture is a Framework to Help:

- Describe *services*
- Define *interconnections* between subsystems
- Develop blueprint for *integration*
- Deploy *integrated* systems

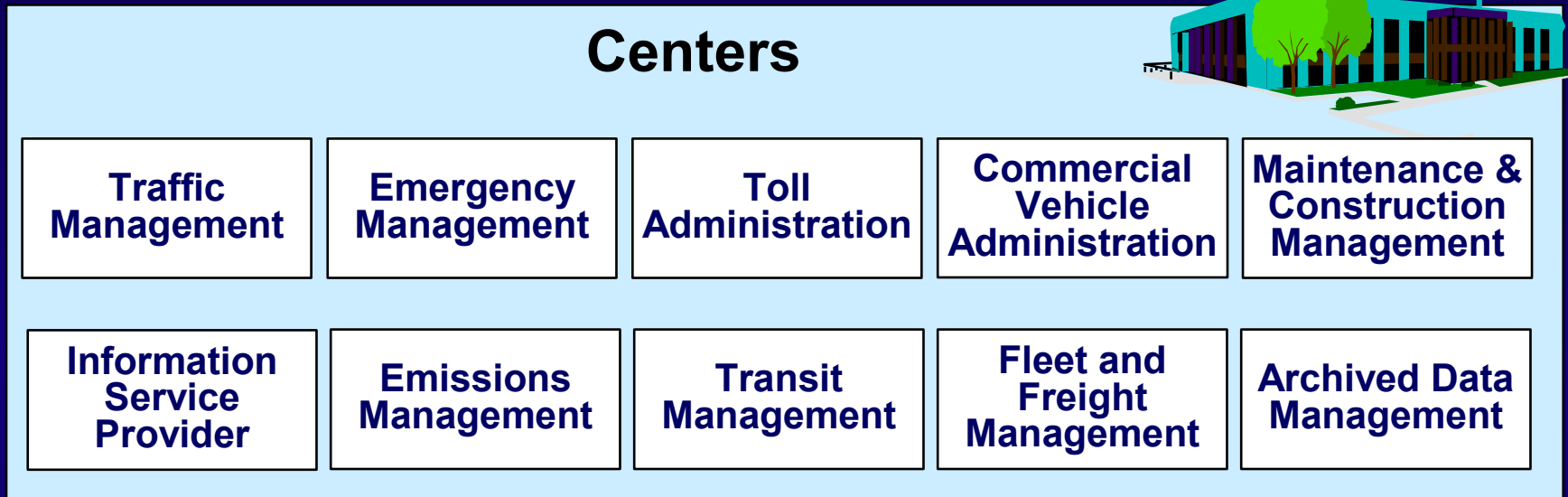


# National ITS Architecture

## V 5.0 - "Sausage Diagram"



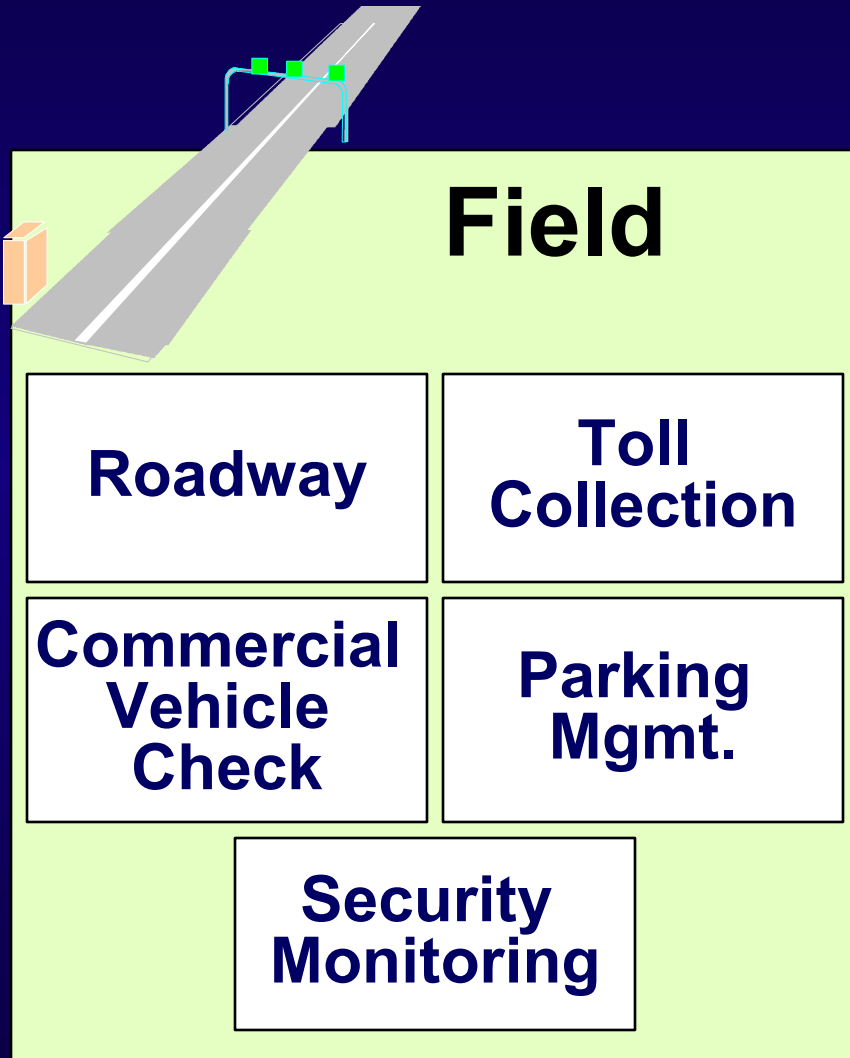
# Center Subsystems



- Perform management and administration functions
- Coordinate with other Center Subsystems

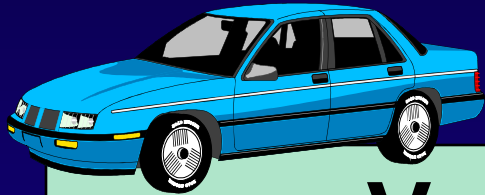


# Field Subsystems



- *ITS* infrastructure
- *On or along* the transportation network
- Surveillance
- Control plans
- Supply information

# Vehicle Subsystems



## Vehicles

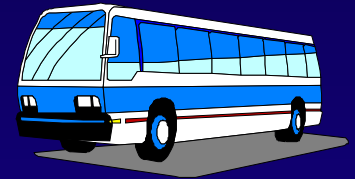
**Vehicle**

**Transit  
Vehicle**

**Emergency  
Vehicle**

**Commercial  
Vehicle**

**Maintenance &  
Construction  
Vehicle**



# Traveler Subsystems



## Travelers



**Personal  
Information  
Access (PIAS)**



**Remote  
Traveler  
Support (RTS)**



- Equipment To Access ITS Services
- PIAS represents “Personal” Devices
- RTS represents “Public” Devices



## Regional ITS Architecture

- Is a planning tool for implementing ITS within a region
- Uses the National ITS Architecture as a template – borrowing concepts, functional requirements, information flows, etc.

*... the National ITS Architecture is tailored to meet the needs of a region in the form of a Regional ITS Architecture*



**LEGEND**

**National ITS Architecture Entity** No Regional Architecture Elements Map To National ITS Architecture

**National ITS Architecture Entity** Regional Architecture Elements Map To National ITS Architecture

Information Service Provider Subsystem
CDTA Traveler Information System*
CDTA Webpage
NYSDOT Capital Region TMC Traveler Information System*
NYSDOT Web Page
NYSTA Web Page
Regional Cellular Telco Probe Service*
Thruway 800 number*
TRANSCOM RA Servers

Traffic Management Subsystem
City of Albany/City of Schenectady/Local TMC
NYSDOT Traffic Signal Control Servers
NYSDOT/NYSP Capital Region TMC
NYSTA Division Traffic Office
NYSTA Thruway Statewide Operations Center (TSOC)
Other State/Regional TMCs*
Transmit Servers*

Emergency Management Subsystem
Capital State Police PSAP
County/City Emergency Operations Centers
Local Public Safety Dispatch
NYS Police Dispatch- COMSEC
NYSDOT HELP Program
NYSTA Thruway Statewide Operations Center (TSOC)
Private Mayday Providers Vehicle Emergency Systems
State Emergency Operations Center
Tow Dispatch

Transit Management Subsystem
CDTA Transit Dispatch
Local Transit Operations Centers
School Bus Dispatch-Private
School Bus Dispatch- Public

Maintenance & Construction Management
Local Dept of Public Works
NYSDOT Maintenance Residency
NYSDOT Regional Transportation
NYSTA Bridge Closure Reporting System*
NYSTA Operations and Maintenance Dispatch

Toll Administration
NYSTA E-ZPass Customer Service Center
NYSTA E-ZPass Service Center

Archived Data Management Subsystem
CDTA Transit Archive
Local Government Planning Department Archive
NYS DMV Accident Reporting System
NYSDOT Region 1 TMC Archive
NYSTA Traffic Data Systems Archive*

Remote Traveler Support Subsystem
CDTA Transit Kiosks*
TRANSCOM Kiosks*

Personal Information Access Subsystem
Private Traveler Personal Computing Devices

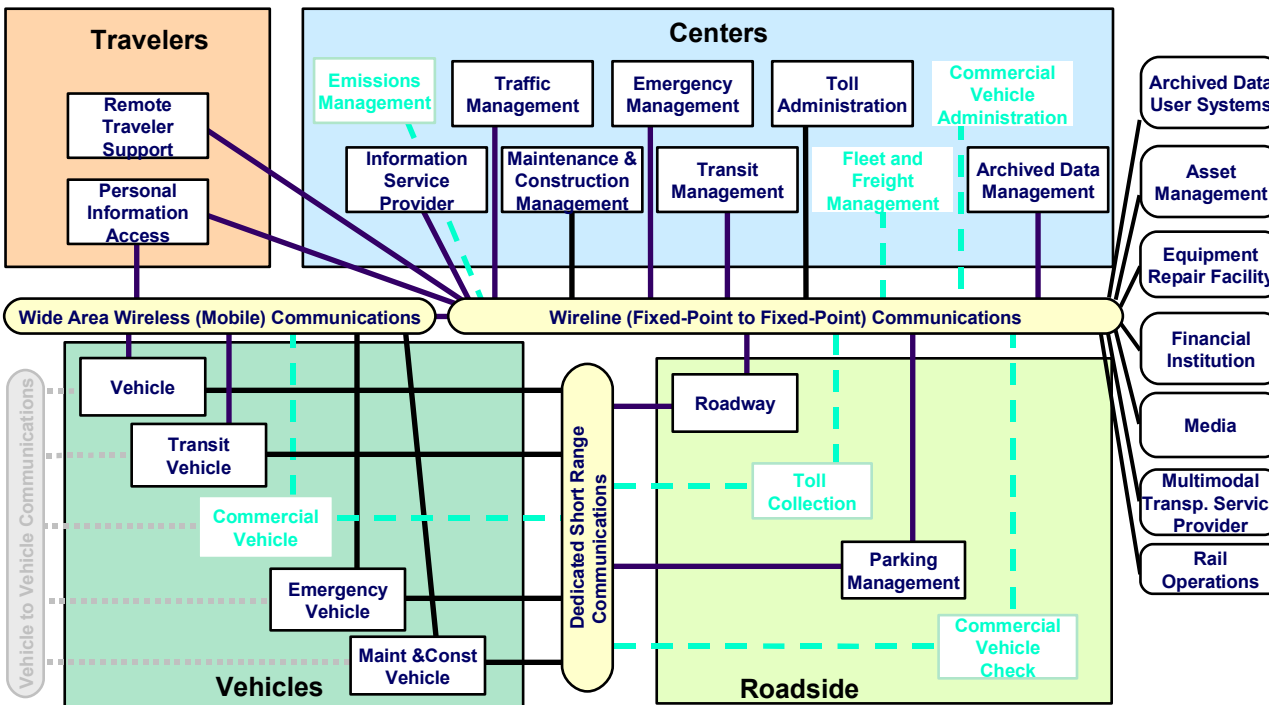
Traveler Card
E-ZPass II Tag*
E-ZPass Tag

Emergency Vehicle Subsystem
Local Public Safety Vehicles
MedFlight Helicopters
NYS Police Vehicles
NYSDOT HELP Truck

Maint & Const Vehicle Subsystem
Local Maintenance and Construction Vehicles
NYSDOT Maintenance and Construction Vehicles
NYSTA Maintenance and Construction Vehicles

Vehicle Subsystem
Private Vehicles

Transit Vehicle Subsystem
CDTA Supervisor's Vehicles
CDTA Transit Vehicles
Local Transit Vehicles



Archived Data User Systems
RPI

Asset Management
NYSDOT Bridge Management System

Equipment Repair Facility
Local DPW Repair Facility

Financial Institution
Financial Institutions

Media
Newspapers, Radio, Television Stations

Multimodal Transp. Service Provider
Albany International Airport

Rail Operations
Amtrak Passenger Train Terminal

Roadway Subsystem
City of Albany/City of Schenectady/Local Field Equipment
NYSDOT Field Equipment
NYSTA Field Equipment

Parking Management Subsystem
Albany International Airport Parking Management System
CDTA Parking Management System*

Capital District, NY  
Regional ITS Architecture  
"Sausage Diagram"

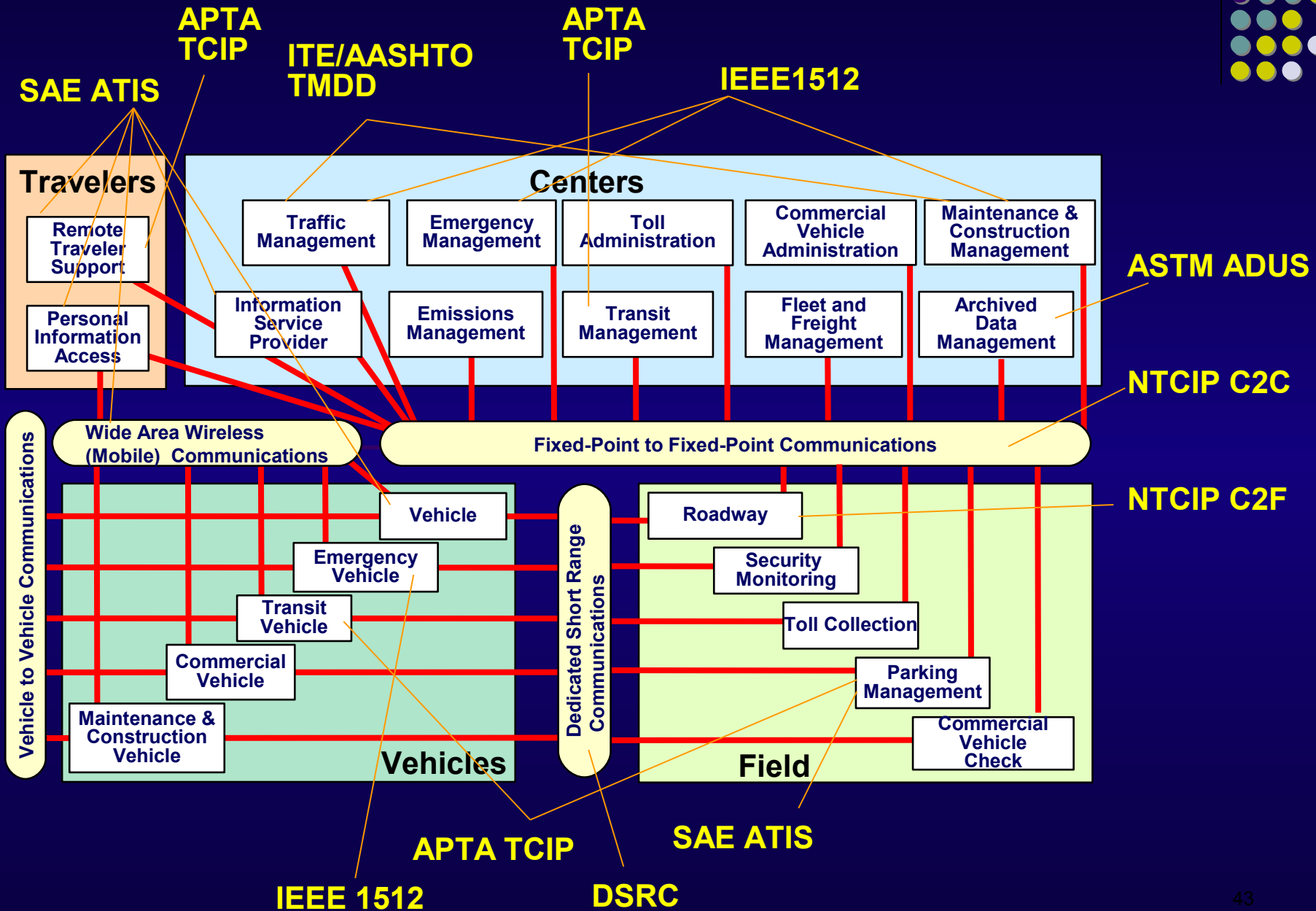
\* Elements are planned, not existing.



# Recommendations: Key ITS Standards



# NYSDOT Key ITS Standards in relation to the National ITS Architecture





# Acronyms used in Key ITS Standards

- **SAE – Society of Automotive Engineers**
  - ATIS - Advanced Traveler Information Systems
  - SAE J2354
- **ITE - Institute of Transportation Engineers & AASHTO - American Association of State Highway Transportation Officials**
  - TMDD – Advanced Traffic Management Systems Data Dictionary
- **APTA - American Public Transportation Association**
  - TCIP – Transit Communications Interface Profiles
- **ASTM - American Society for Testing & Materials**
  - ADUS – Archived Data Users Standard
- **IEEE - Institute of Electrical and Electronics Engineers**
  - IEEE 1512 Family of Standards for Incident Management
- **NTCIP – National Transportation Communications for ITS Protocol**
  - Joint NEMA - National Electrical Manufacturers Association, ITE, and AASHTO Standard
  - Center to Field Communications and Objects (Data Elements) for Traffic Control Devices
  - Center to Center Protocol

# Range of Standards Reviewed



- Traffic Management (Center to Field)
  - NTCIP, Devices
  - NTCIP, Protocols
  - ATC Family (2070)
  - NEMA TS Standards
- Traffic Management (Center to Center)
  - TMDD
- Transit Management
  - TCIP Family
- Travel Information
  - SAE-ATIS
- Incident Management
  - IEEE 1512 Family
- Center to Center Protocols
  - DATEX
  - XML
- Other Standards
  - DSRC (\*\*)
  - ADUS (\*)
  - CVO (\*)

**Hardware**

**Data Elements**

**Messages**

**Protocols**

\* Question: ADUS & CVO Standards

\*\* Regional DSRC – E-ZPass



# Review of Criteria for Selecting Key ITS Standards

- Applicability to NYSDOT and NYS ITS systems, existing and planned
- Maturity of the standard
- National and NYS project experience with implementation of the ITS standards



# Exercise #3



## Exercise # 3 – ITS Project Scope, Requirements and Design



**Problem:** Based on the Incident Scenario, create an ITS project to implement the Center-To-Field and Center-To-Center aspects.

**Your Task:** Determine the following:

4. Track the steps to build the project?
5. Identify and analyze applicable ITS standards for your project.
6. Label your drawing from Ex. #1 with applicable ITS standards.

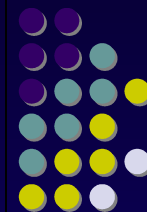
- Class discussion

Time 15 minutes

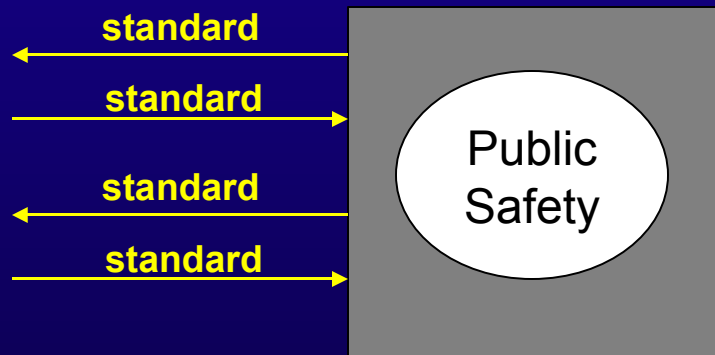
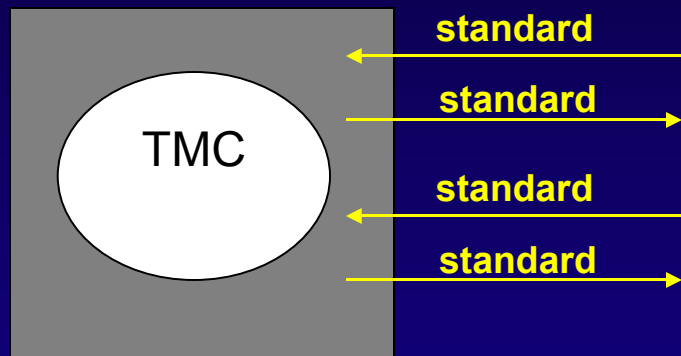




# Exercise # 3 – ITS Project Scope, Requirements and Design



## Discussion for Center to Center





# Overview: Systems Engineering Process

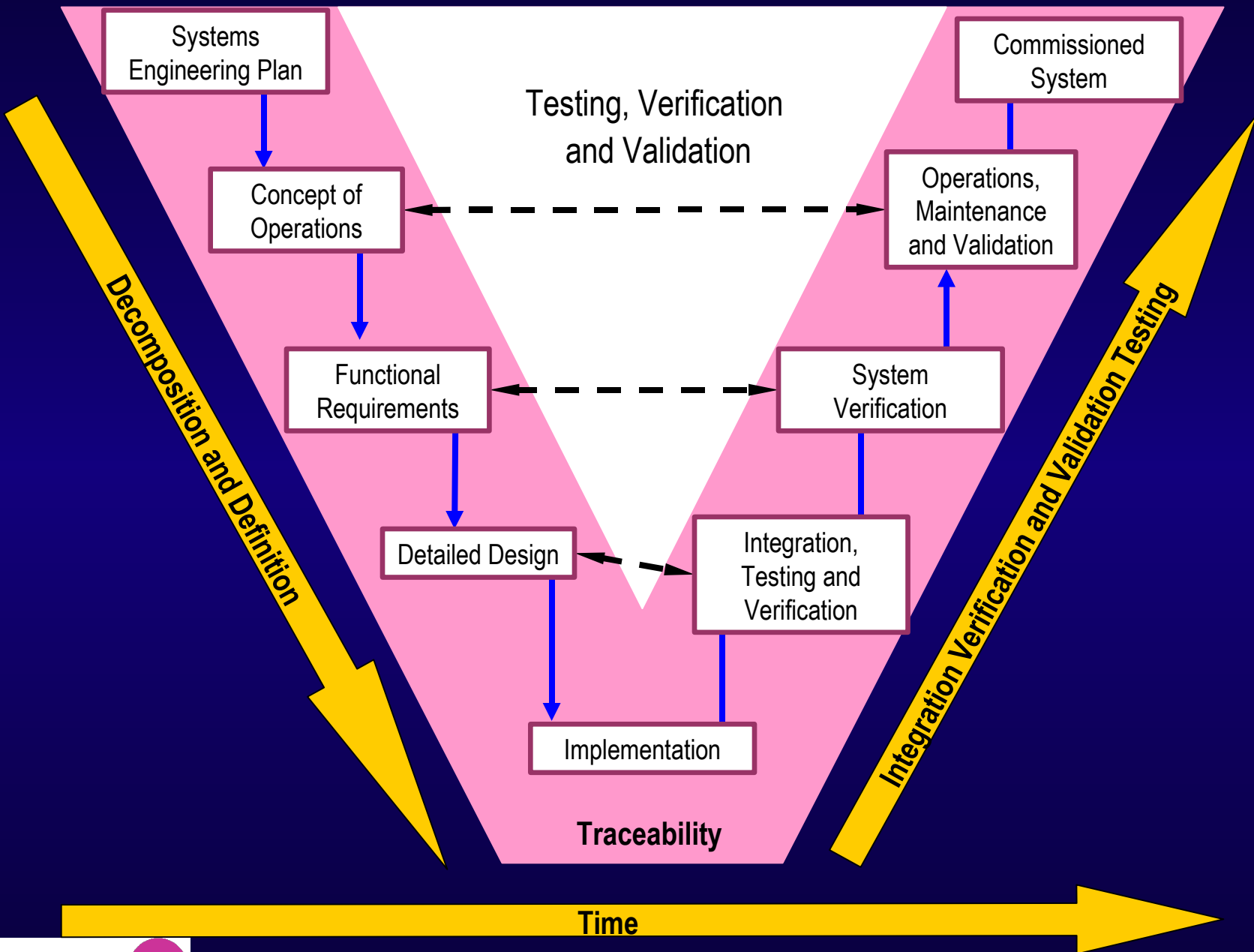




# What is a systems engineering process (SEP)?

- A structured way of thinking...
  - Allows us to build systems based on our needs with reliability and stability.
  - We can trace engineering decisions back to user needs.
  - It improves chances of system development on time and within budget.

# Systems Engineering Process Model



# Concept of Operations (ConOps)



- The concept of operations is a document that defines the environment in which the system is to operate.
- The Environment:
  - Relationships between system and Agency's responsibilities
  - Physical environment
  - Expectations



# Functional Requirements & Design



**Functional Requirements**  
“What the system will do.”

**System Design**  
“How the system will do  
it.”

To meet my agency’s  
needs, I need a system  
that will  
perform these stated  
functions...

**Specifications**

# System Integration and Testing



- Testing
- Acceptance Procedures

- Application Software
- Standards-Protocols

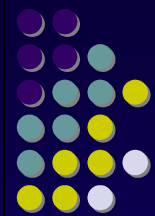
- Hardware Components
- Component Software



# Recommendations: “Best Practices for ITS Standards Specifications”





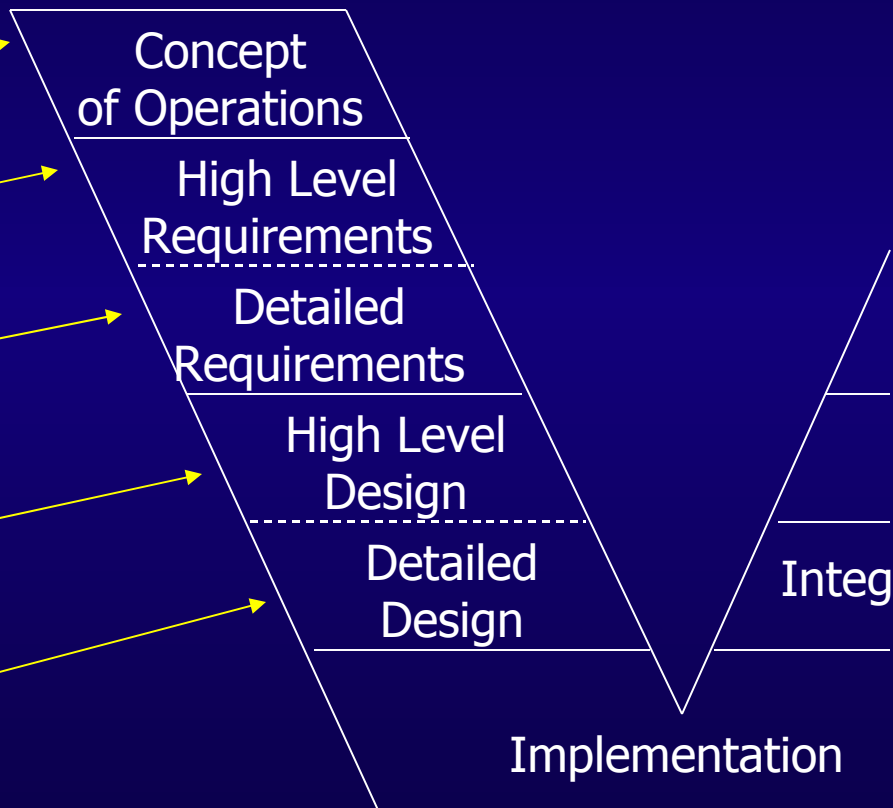


# Systems Engineering and the NYSDOT Project Development Process

**Project Systems Engineering Analysis Report**  
• list of 7 items to help guide the systems engineering process



- Concept of Operations Report
- Design Phase IV (Preliminary Design Report)
- Design Phase IV (Detailed Design Report)
- Design Phase V (Advanced Detailed Plans)
- Design Phase V (Plans, Specs, & Estimates)



The VEE does not show project programming steps including: TIP, Regional ITS Architecture, IPP, and Project Scoping.

# Level of Detail for ITS Standards for Project Programming Steps



- **Project Programming Steps**
  - **Regional ITS Architecture**
    - List of ITS standards applicable for implementation of an architecture flow
  - **Initial Project Proposal (IPP)**
  - **Project Scoping (based on Appendix on Project Scoping of Project Development Manual)**
    - List of ITS standards that may be considered for implementation



# Recommendations: Project Systems Engineering Analysis (PSEA)



# NYSDOT Project Systems Engineering Analysis



## Includes the following:

1. Implement a “portion” of the regional architecture being implemented
2. Agree on roles and responsibilities by participating and affected agencies
3. Define the functional requirements
4. Identify alternative communications infrastructure and configurations
5. Identify applicable ITS standards and testing procedures
6. Identify procurement options
7. Develop procedures and implement resources necessary for operations and management of the system

An example is provided in the “Best Practices Report”

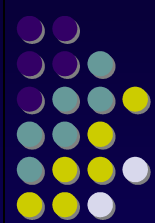
*The list is based on FHWA Rule/FTA Policy on systems engineering analysis*



## Example

- **Example ITS Project**
  - The NYC Freeway Expansion Project is a freeway management project focused on the deployment of communications and ITS field equipment. The field equipment will be integrated into a central software system located at the New York City Joint TMC. The development of the New York City Joint TMC (with its central system) and any center to center communications to connect the TOC to other centers are not considered a part of this project for this example.

# 1) Portions of Regional ITS Architecture Being Implemented



## New York City Sub Regional ITS Architecture "Sausage Diagram" (LIE Expansion Project Elements Highlighted)

<b>Remote Traveler Support</b>
AMTRAK LIRR/NJ Transit Penn Station Information Displays
Long Island Transit Operators Customer Info Systems
Mid Hudson South Transit Operators Customer Info Systems
MTA LIRR Customer Info Systems
MTA LIRR Fare Point of Sale
MTA LIRR Security Equipment
MTA LIB Customer Info Systems
MTA LIB Fare POS
MTA LIB Security Equip
MTA MNR Customer Info Sys
MTA MNR Fare Point of Sale
MTA MNR Security Equipment
MTA NYCT Bus Security Equipment
MTA NYCT Customer Info Systems
MTA NYCT Fare Point of Sale
MTA NYCT Subway Security Equip
MTA Regional Transit Fare POS
NYC DOITT Kiosks
NYCDOT Division of Ferry Operations Customer Info Systems
NYCDOT Franchise Bus Info Sys.
PANYNJ Airports In-Terminal Customer Information Systems
PANYNJ Bus Terminals/Stations In-Terminal Customer Info Systems
PANYNJ PATH Fare Point of Sale
PANYNJ PATH/HVISON
TRANSCOM Kiosks
WC Bee Line Customer Info Sys.

<b>Emergency Management (1)</b>
AMTRAK Emergency Dispatch
FDNY Fire/EMS Dispatch
MTA NYCT Security
MTA Police Dispatch / Command Center
Municipal/County EOCs
Municipal/County Public Safety Dispatch
NYCDEP Dispatch Center

<b>Archived Data Management</b>
Hudson Valley Traveler ITS Operators Operational DB
MTA MNR Customer Info Sys Operational DB
Long Island ITS Operators Operational DB
MTA B/T, Bus, and Rail ITS Archives
NYC ITS Operators ITS Archive
NYMTA Member Agencies Data Collection Systems
NYMTA/DCDP Regional Planning Database
NYSDMV/Accident Reporting Sys.
NYSDOT Accident Reporting Sys.
TRANSCOM Archived Data System

<b>Fleet and Freight Management</b>
PANYNJ Port Commerce CARGO/MATE
Private Commercial Vehicle and Fleet Dispatch
Private Terminal Operator Systems

<b>Emergency Vehicle Subsystem</b>
FDNY EMS Vehicles
FDNY Fire Vehicles
MTA Bridges/Tunnels Emergency and Maintenance Vehicles
MTA Bridges/Tunnels OCCC Special Operators Vehicles
MTA NYCT Bus Depot/Road Service Trucks
MTA Police Vehicles
Municipal/County Public Safety Veh.
NYCDOT OER Emergency Vehicles
NYCOEM/Watch Command Veh.
NYPD Vehicles
NYS Police Vehicles
NYSDOT/NYPD Help Vehicles
PANYNJ Tunnels/Bridges/Terminals Emergency Response Vehicles

<b>Transit Vehicle</b>
Long Island Transit Operators Veh
Mid Hudson South Transit Operators Vehicles
MTA LIRR Trains
MTA LIB Buses
MTA LIB Paratransit Buses
MTA MNR Trains
MTA MetroCard Reader
MTA NYCT Buses
MTA NYCT Staten Is. Railway Veh
MTA NYCT Subway Vehicles
NYCDOT Ferry Operators Ferries
NYCDOT Franchise Buses/AVL
PANYNJ PATH Transit Vehicles
Private Long-Distance Bus Buses
Private Paratransit Vehicles
WC Bee Line Buses
WC Bee Line Paratransit Vehicles

<b>Commercial Vehicle</b>
Private Commercial and Fleet Vehicles

<b>Maintenance &amp; Const Vehicle</b>
MTA Bridges/Tunnels Emergency and Maintenance Vehicles
Municipal/County PWD Vehicles
NYCDOS Vehicles
NYCDOT Maintenance Vehicles
NYSDOT Maintenance Vehicles
PANYNJ Tunnels/Bridges/Terminals Maintenance Vehicles

<b>Emergency Management (2)</b>
NYCDOT Office of Emergency Response
NYCOEM/Watch Communications Center
NYPD 911 Communications Center Dispatch
NYPD Dispatch
NYPD Operations
NYPDTMC
NYPDT Transit Bureau
NYS Police Dispatch
NYSDEC Systems
PANYNJ PAPD 211 Communications Center Dispatch
State EOCs

<b>Toll Administration</b>
E-ZPass CSC
E-ZPass Reciprocity Network

<b>Information Service Provider (1)</b>
Agency Run Traveler Info Systems
E-ZPass CSC Web Site
MTA Bridges/Tunnels Public Relations Office
MTA Bridges/Tunnels Web Site
MTA LIRR Customer Info Center
MTA LIRR Web Site
MTA LIB Customer Info Center
MTA LIB Web Site
MTA MNR Customer Info Center
MTA MNR Web Site
MTA NYCT Customer Info Center
MTA NYCT Web Site
New York State Emergency Road Reporting System
NYCDOT Bus Franchise Website

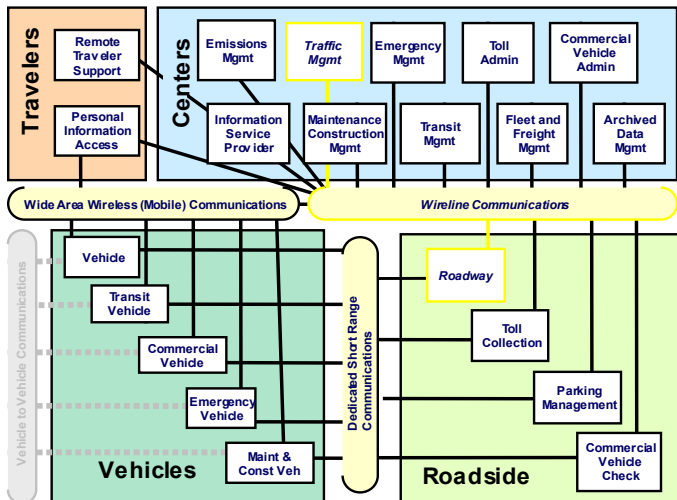
<b>Information Service Provider (2)</b>
NYCDOT Bus Franchise Operator Website
NYCDOT Traveler Info Web Site
NYCDOT/DOP Parking Info System
NYSDOT Traveler Info Systems
PANYNJ PATH Web Site
PANYNJ Port Commerce CARGO/MATE Web Site
PANYNJ Port Commerce FIRST Web Site
PANYNJ Traveler Info Systems
Private ISPs
TRANSCOM RA Servers
TRIPS123
WC Bee Line Traveler Info System
WC Bee Line Web Site

<b>Maintenance &amp; Construction Management</b>
Municipal/County PWD Operations
NYCDOS Dispatch
NYCDOT Bridge Maintenance
NYCDOT OCCM
NYCDOT Street and Arterial Maintenance Division
NYS Bridge Authority Mid Hudson Bridges Maintenance
NYSDOT Construction Operators
NYSDOT Maintenance Ops
PANYNJ Tunnels/Bridges/Terminals Maintenance Unit
<b>Emissions Management</b>
NYCDEP Office of Environmental Analysis

<b>Commercial Vehicle Admin</b>
MTA Bridges/Tunnels Engineers Office
MTA Bridges/Tunnels Facility Operations Center
MTA Bridges/Tunnels OCCC
NYC DEP HAZMAT Permitting
NYCDOT Commercial Vehicle Permits Office
PANYNJ Port Commerce CARGO/MATE
PANYNJ Port Commerce Credentialing Back Office (SEALINK)
PANYNJ Port Commerce Operations Centers
PANYNJ Tunnels/Bridges/Terminals Communications Desk/Operations Center
Private Terminal Operator Systems

<b>Transit Management Subsystem</b>
Long Island Transit Operator Maintenance
Long Island Transit Operator Systems
Mid Hudson South Transit Operator Maintenance Facilities
Mid Hudson South Transit Operator Systems
MTA Agencies Transit Maintenance
MTA LIRR Fare Mgmt System
MTA LIRR Maintenance Yards
MTA LIRR Operations Center
MTA LIB Depot / Gauge
MTA LIB Fare Mgmt System
MTA LIB Fixed Route Bus Ops
MTA LIB Paratransit Operations
MTA MNR Fare Mgmt System
MTA MNR Maintenance Yards
MTA MNR Operations Center Sys.
MTA MetroCard Fare Mgmt System
MTA NYCT Bus Command Center - Operations
MTA NYCT Bus Depot Central Road Operations
MTA NYCT Bus Depot Central Road Service Unit
MTA NYCT Customer Info Center
MTA NYCT Fare Mgmt System
MTA NYCT Paratransit Command
MTA NYCT Service Planning
MTA NYCT Staten Island Railway Control Facility
MTA NYCT Subway Rail Control Center
MTA NYCT Subway Yard
MTA NYCT Transit Bus Depot Maintenance
NJT Bus Operations Systems
NJT Fare Mgmt System
NJT Rail Operations Systems
NYCDOT (Franchise Bus) Systems Center
NYCDOT Division of Ferry Operations Systems
NYCDOT Franchise Bus Operator Systems
PANYNJ Airports Air Train Ops
PANYNJ Airports Communications Desk/Operations Center
PANYNJ Bus Terminals/Stations Communications Desk/Ops Center
PANYNJ PATH Fare Mgmt System
PANYNJ PATH Operations Center
PANYNJ PATH Vehicle Maint
Private Ferry Operator Systems
Private Long-Distance Bus Ops
Private Paratransit Operators
Regional Transit Fare Reciprocity
TRANSCOM Other Member / Non-Member Agencies Systems
TRIPS123
WC Bee Line Fare Mgmt System
WC Bee Line Operator Center
WC Bee Line Transit Maintenance

<b>Traffic Management Subsystem</b>
I-95 CC Information Exchange Network
Long Island Municipal/County Local TCOs
Mid Hudson South Municipal/County Local TMCs
MTA Bridges/Tunnels Facility Operations Center
MTA Bridges/Tunnels OCCC
<b>New York City Joint TMC</b>
NYCDOT Drawbridge Control Booths
NYS Bridge Authority Mid Hudson Bridges
NYSDOT R10 INFORM
NYSDOT R8 Hudson Valley Traveler TOC
NYSDOT Statewide IEN
NYSTA TSOCC
PANYNJ Airports Communications Desk/Ops Center
PANYNJ Port Commerce Ops Centers
PANYNJ T B&T Communications Desk/Ops Center
TRANSCOM IRV/N Server
TRANSCOM Other Member / Non-Member Agencies Systems
TRANSCOM RA Servers
TRANSCOM Regional Architecture Workstations
TRANSCOM TRANSMIT Server
TRANSMIT Agencies TRANSMIT Servers



<b>Parking Management Subsystem</b>
E-ZPass Plus Systems
MTA MNR Parking Facilities
NYCDOT Parking Facilities
NYCDOT/DOP Parking Facilities
NYCDOT/DOP Parking Info System
Public & Private Park and Ride Sys.

<b>Toll Collection</b>
E-ZPass Plus Systems
MTA Bridges/Tunnels Facility Toll Collection Equipment
NYS Bridge Authority Mid Hudson Bridges Toll
NYSTA Toll Collection Equipment
PANYNJ Airports Vehicle Identification Systems
PANYNJ Tunnels/Bridges/Terminals Electronic Toll Collection Equipment

<b>Roadway Subsystem</b>
Long Island Municipal/County Field Equipment
Mid Hudson South Municipal/County Field Equipment
MTA Bridges/Tunnels Facility Field Equipment
MTA Bridges/Tunnels Lift Span Control System
MTA Bridges/Tunnels Security Equipment
MTA LIRR Drawbridge Control Sys
MTA MNR Drawbridge Control Sys
NYCDEP Environmental Monitoring Stations
NYCDOT Drawbridge Control Sys
NYCDOT Field Equipment

<b>Roadway Subsystem</b>
NYSDOT R8 Field Equipment
NYSDOT R10 Field Equipment
<b>NYSDOT R11 Field Equipment</b>
PANYNJ Airports Field Equipment
PANYNJ Bus Terminals/Stations Field Equipment
PANYNJ PATH Vehicle Detection System
PANYNJ Port Commerce Field Equipment
PANYNJ Tunnels/Bridges/Terminals Field Equipment
Private Terminal Operator Roadside Tag Readers
TRANSMIT Agencies Field Equip.

<b>Commercial Vehicle Check</b>
MTA Bridges/Tunnels Facility Commercial Vehicle Check
PANYNJ Port Commerce Terminal Access Equipment
Private Terminal Operator Roadside Tag Readers



# Portions of Regional ITS Architecture Being Implemented – ITS Elements

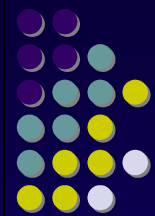
Project ITS Element	National ITS Architecture Subsystem
New York City Joint TMC	Traffic Management Emergency Management
NYSDOT R11 Field Equipment	Roadway Subsystem



# Portions of Regional ITS Architecture Being Implemented - Market Package Identification

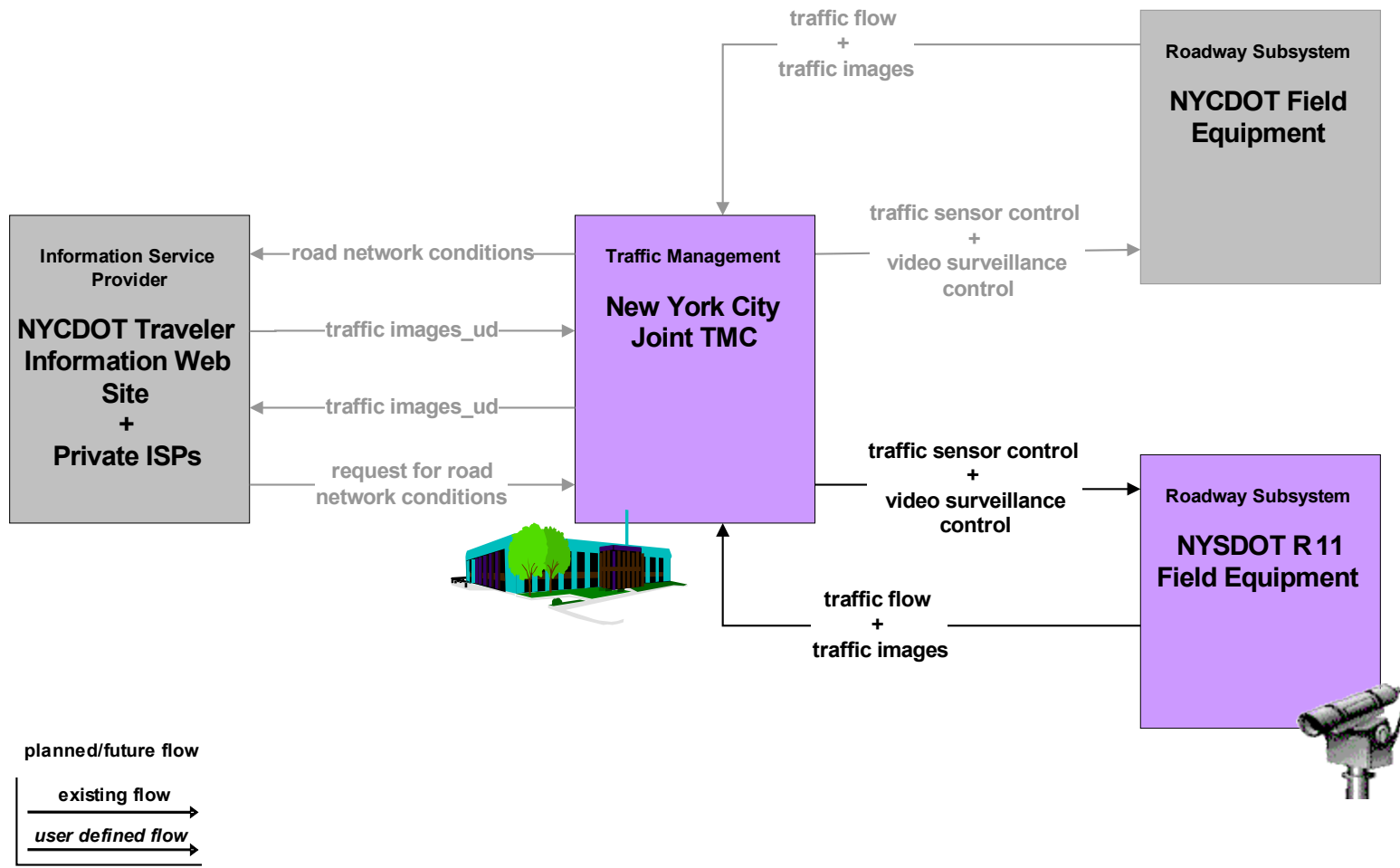
Market Package Diagram	MP Name	Applicable ITS Project Elements
ATMS01-3	Network Surveillance – New York City Joint TMC	New York City Joint TMC, NYSDOT R11 Field Equipment
ATMS04-1	Freeway Control – NYSDOT R8/R10/R11	New York City Joint TMC, NYSDOT R11 Field Equipment
ATMS06-09	Traffic Information Dissemination – NYSDOT Regions	New York City Joint TMC, NYSDOT R11 Field Equipment





# Portions of Regional ITS Architecture Being Implemented

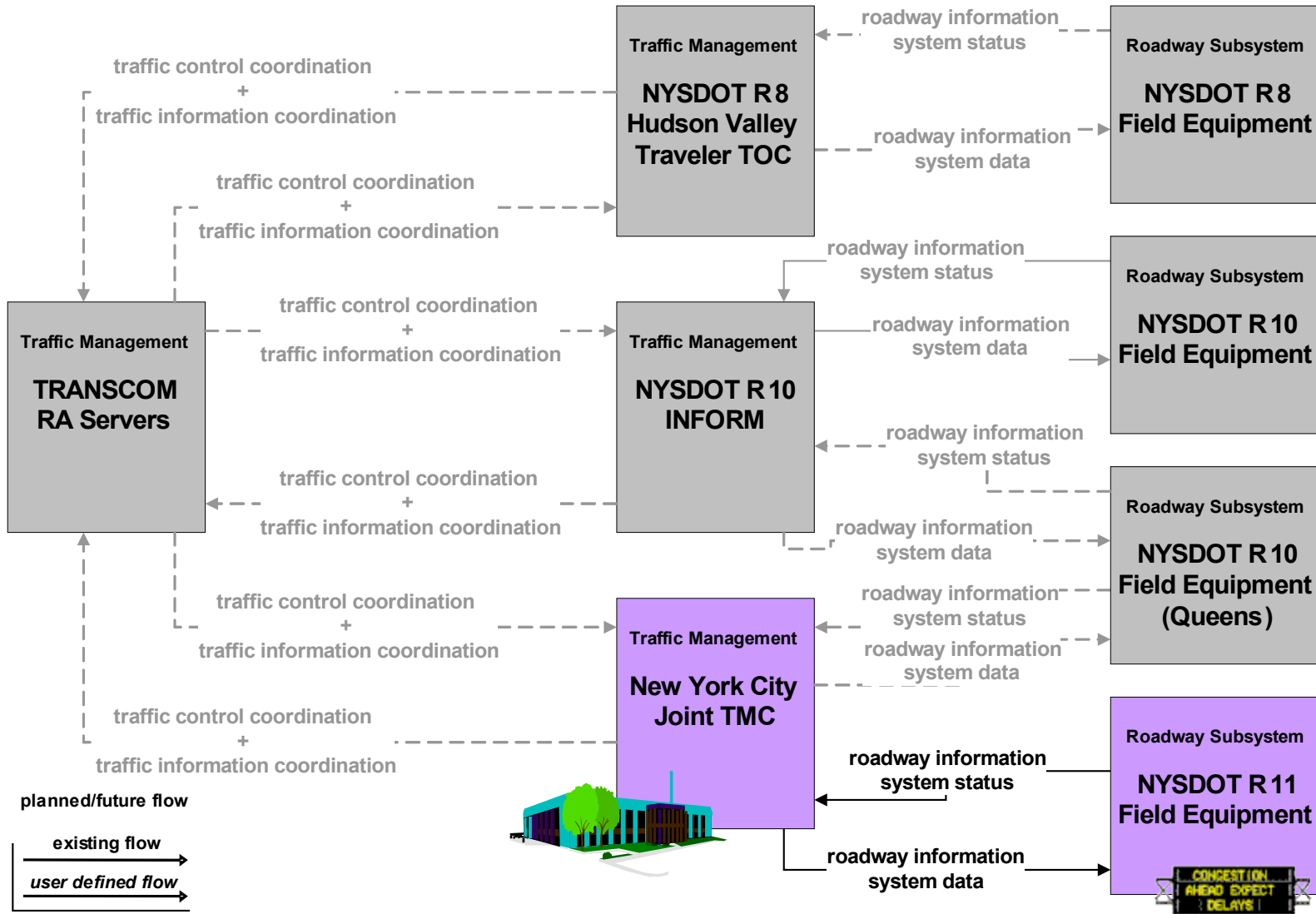
## ATMS01 - Network Surveillance New York City Joint TMC



# Portions of Regional ITS Architecture Being Implemented

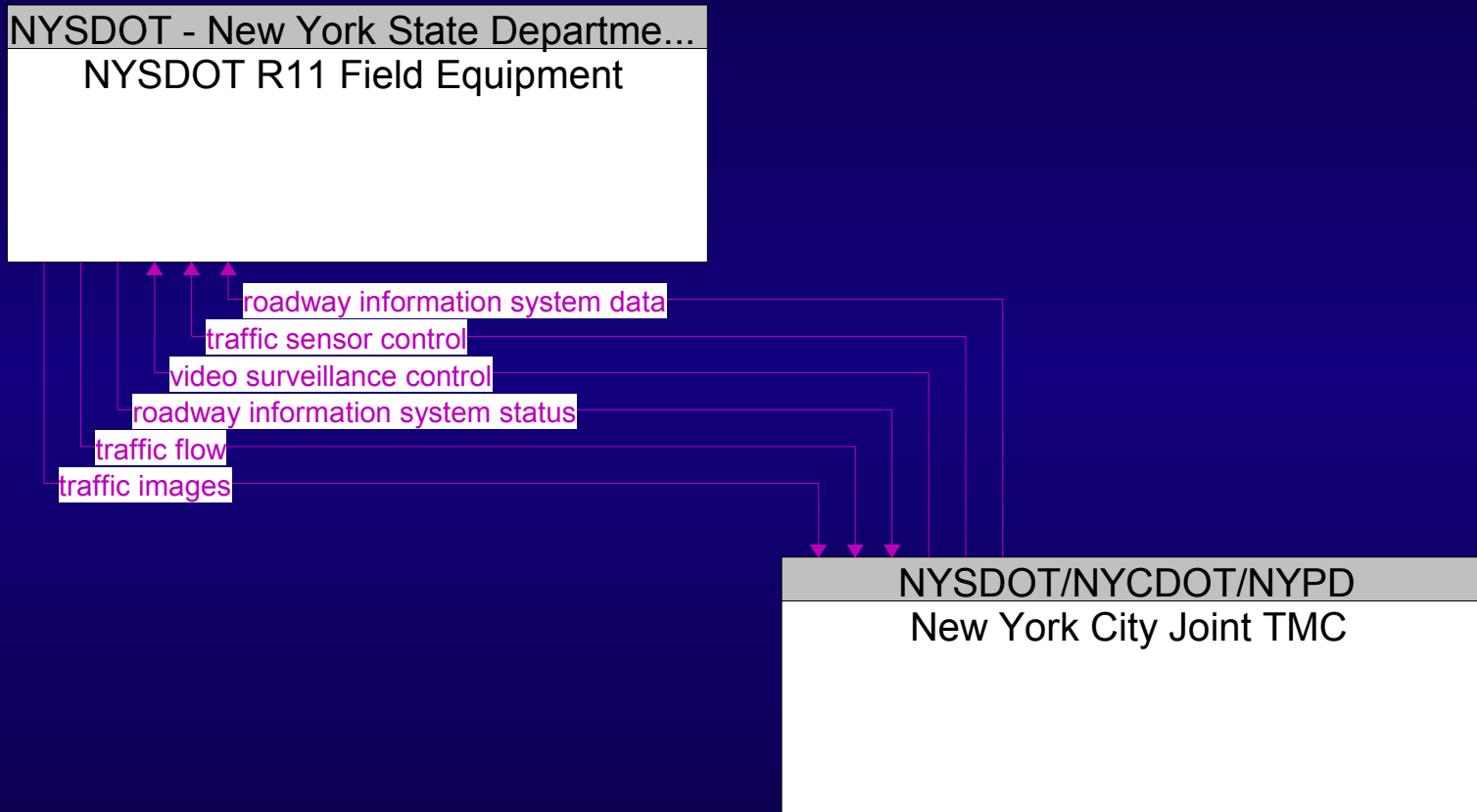


## ATMS06 - Traffic Information Dissemination NYSDOT Regions

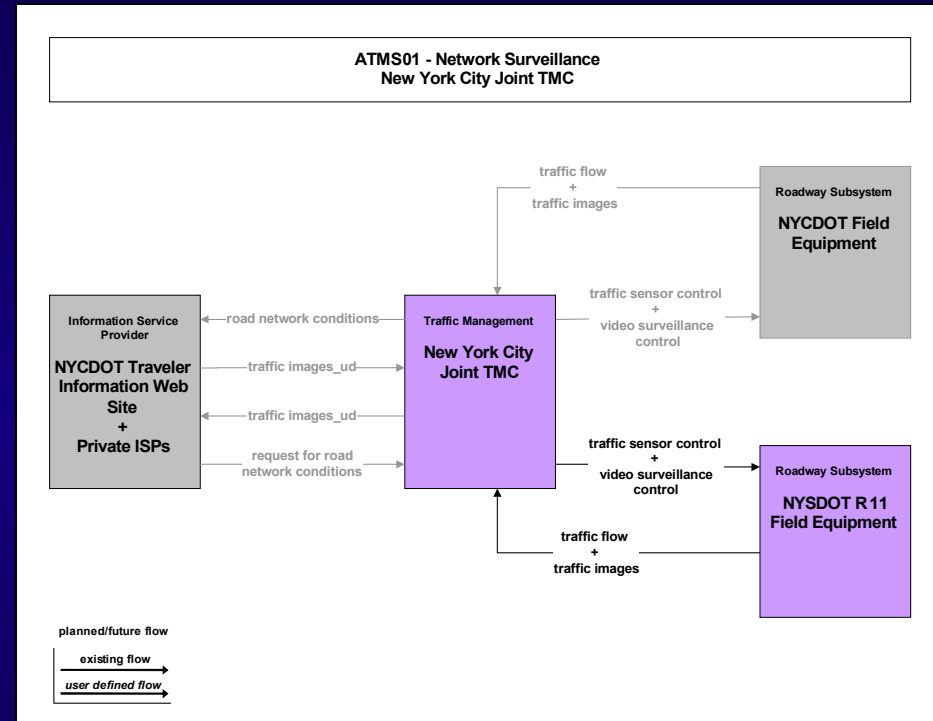
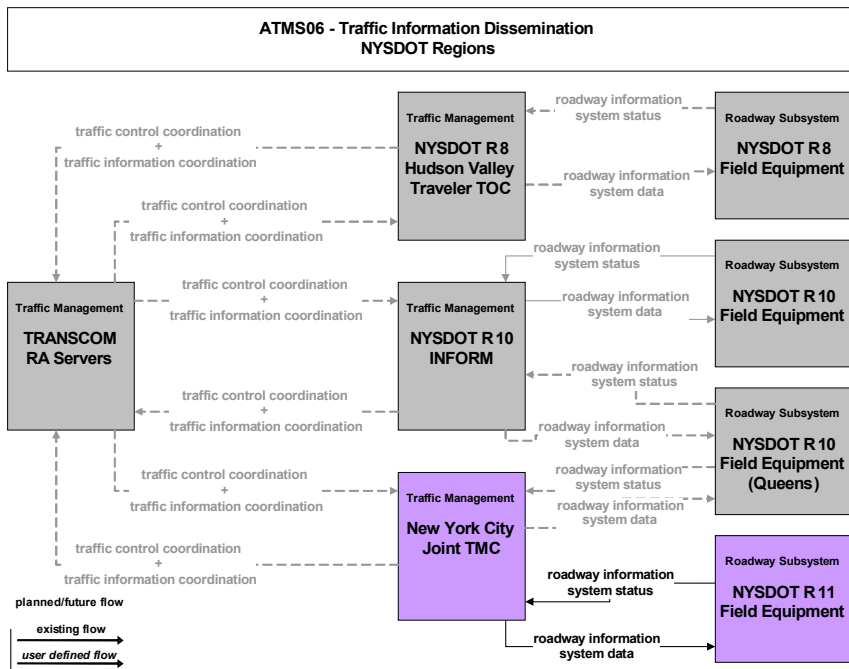




# Portions of Regional ITS Architecture Being Implemented



# Question: Would it be useful to define what portion of the regional ITS architecture is being implemented at IPP?





## 2) Participating Agencies Roles and Responsibilities

Stakeholders	Project ITS Elements	Roles and Responsibilities
New York City Joint TMC	New York City Joint TMC	NYCDOT and NYSDOT jointly manages and operates the Joint TMC. From the Joint TMC various project freeway field equipment will be operated and controlled.
NYSDOT – New York State Department of Transportation	NYSDOT R11 Field Equipment	Freeway management field equipment operated and maintained by NYSDOT.



### 3) System Functional Requirements

- Tailor add/remove requirements from the Regional ITS Architecture to specify Project Requirements
- Turbo Architecture Functional Requirements are a good place to start



### 3) System Functional Requirements

ITS Element	Functional Area	Requirement
New York City Joint TMC	Collect Traffic Surveillance	The center shall distribute road network conditions data (raw or processed) based on collected and analyzed traffic sensor and surveillance data to other centers.
NYSDOT R11 Field Equipment (DMS)	Roadway Traffic Information Dissemination	The field element shall include dynamic messages signs for dissemination of traffic and other information to drivers, under center control; the DMS may be either those that display variable text messages, or those that have fixed format display(s) (e.g. vehicle restrictions, or lane open/close).
NYSDOT R11 Field Equipment (CCTV)	Roadway Basic Surveillance	The field element shall collect, process, and send traffic images to the center for further analysis and distribution.
NYSDOT R11 Field Equipment (CCTV)	Roadway Basic Surveillance	The field element shall return sensor and CCTV system operational status to the controlling center.



## 4) Analysis of Alternative System Configuration and Technology Options

- **Operational Alternatives.**

- For the purposes of a PSEA, this section would reflect which centers that house operational staff are involved. In the case of this example, all staff will be housed in the New York City Joint TMC and will be staffed 24 hours a day/7 days a week. However, another project may include the construction of a new, separate management center (**whether operated by private sector operators**). How the field equipment will be maintained (in-house or contractor) might also be included.





## 4) Analysis of Alternative System Configuration and Technology Options

- **Technology Assessment**

- This section should propose various design alternatives for system or equipment to deliver the required ITS functionality. For example, a number of technologies may be considered to fulfill the requirements of the “NYSDOT Vehicle Detectors” subsystem including: radar detectors, inductive loops, and magnetometers. Likewise, fulfilling the requirements of the “NYSDOT CCTV” may be done with still frame, slow scan, or full motion video cameras. Each of these alternatives may carry additional or reduced cost to the project.



## 4) Analysis of Alternative System Configuration and Technology Options

- **Communications Infrastructure Alternatives**

- Communications alternatives will depend on some of the factors included in the bullets above (number of centers involved, the location of equipment, and the bandwidth of information that needs to be transferred). Communication options may include: fiber, dial-up, wireless, and a wide selection of network equipment (e.g., modems, Ethernet communications equipment, and fiber communications equipment) and communications protocols.



## 5) Procurement Options

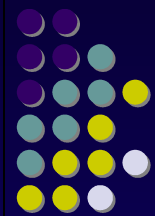
- **Identify**
  - Funding Source / Document
  - Project ID
  - Funds Available
- **Options**
  - Sole-source
  - Competitive Bid
  - Public/Private Partnership

Project Document	Project ID	Amount Allocated
NYSDOT State TIP	NYS-12345	\$X million
NYSDOT Capital Plan	NYSDOT-12345	\$Y00,000

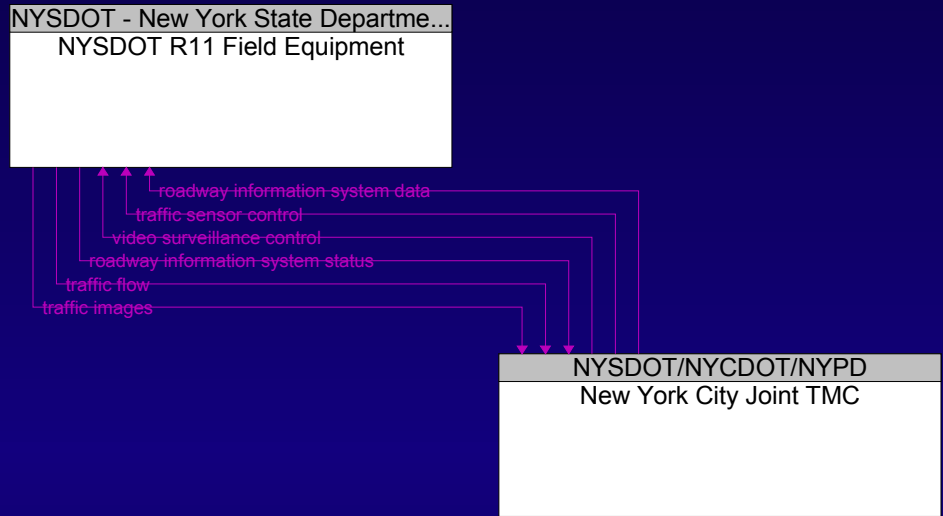


## 5) Procurement Options

- System life cycle cost information that may be highlighted in the section include:
  - ITS Equipment Cost
  - System Integration and Engineering Support Cost
  - Operations and Maintenance Cost



# 6) ITS Standards and Testing Procedures



Start with mapping of ITS Architecture flows to ITS Standards

Existing  
Planned

Architecture Flow	Interface	ITS Standard
roadway information system data roadway information system status	TMC ↔ DMS	NTCIP 1203 – Dynamic Message Signs
traffic images video surveillance control	TMC ↔ CCTV	NTCIP 1205 – CCTV
traffic sensor control traffic flow	TMC ↔ Traffic Sensors	NTCIP 1209 – Traffic Sensor Systems



## 6) ITS Standards and Testing Procedures

- List of Applicable ITS Standards for Project

Document Number	Document Title Involved	Project Applicability
NTCIP 1101	Simple Transportation Management Framework (STMF)	Yes
NTCIP 1201	Global Object Definitions	Yes
NTCIP 1203	Object Definitions for Dynamic Message Signs (DMS)	Yes
NTCIP 1205	Object Definitions for Closed Circuit Television (CCTV) Camera Control	Yes
NTCIP 1206	Object Definitions for Data Collection and Monitoring (DCM) Devices	No
NTCIP 1208	Object Definitions for Closed Circuit Television (CCTV) Switching	No
NTCIP 1209	Data Element Definitions for Transportation Sensor Systems	Yes
NTCIP 2101	Point to Multi Point Protocol (PMPP) Using RS-232 Sub Network Profile	Yes
NTCIP 2103	Point-to-Point Protocol (PPP) Over RS-232 Sub network Profile	Yes
NTCIP 2201	Transportation Transport Profile ("NULL" Transport Profile)	Yes
NTCIP 2202	Internet (TCIP/IP and UDP/IP) Transport Profile	Yes
NTCIP 2301	Simple Transportation Management Framework (STMF) Application Profile	Yes



## 6) ITS Standards and Testing Procedures

- Testing Procedures
  - To accomplish system testing of the ITS elements, the following types of tests will be required for each unit of equipment furnished:
- Unit Test
- Integration Test
- System Test

*Based on Guidance from ITS Standards Training Program.*



## 6) ITS Standards and Testing Procedures

- ITS Standards Testing
  - Documentation
    - MIB (Device Database) or XML Schema (C2C Message Template)
  - Acceptance Testing
    - How conformance with the standards will be tested
  - ITS Standards Interpretation Resolution
    - How conflicts or ambiguities in interpreting the standard will be resolved





## 7) Procedures and Resources for Operations and Management

- This section of the PSEA should outline the organizational procedures that will be put in place for the operations and management of the project's capabilities (in this example freeway device operations). In addition, any resources necessary for operations and management would be considered.



# Recommendations: Integrating Regional ITS Architecture and Standards into NYSDOT's Project Development Process





# Concepts Of Operation

- **Level of Detail**
  - No direct relationship between ITS Standards and Concepts Of Operation document
  - Focus is on operations and maintenance, but is a valuable input.



# Preliminary Design Report

- High Level Requirements
  - Should be same level of detail, perhaps refined, of what is stated in the PSEA.



# Detailed Design Report

- Detailed Requirements (C2F)
  - System Functions
    - Normal Conditions
    - Abnormal Conditions
  - Control Modes
  - System Monitoring
  - Installation and Testing

# Detailed Design Report - Example



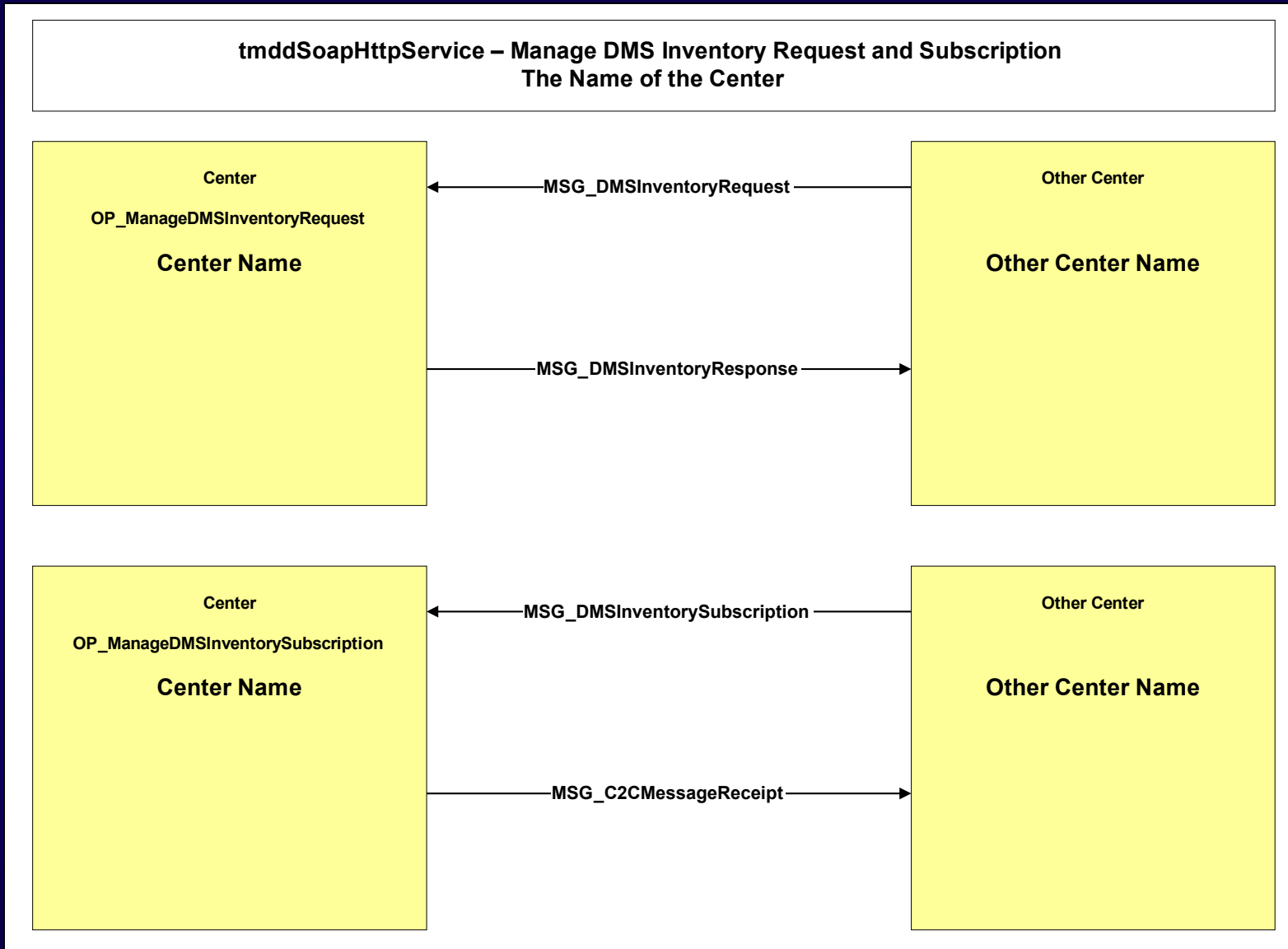
- **Sign Display Behavior After Bootup**
  - When the DMS is first powered on, the DMS face shall remain blank during the power-up and boot-up cycle. Once the boot-up cycle is complete, the DMS will display a default message until a message is commanded.
  - The default message to be displayed can be a blank message, a specific defined message, or the last message commanded before the DMS was shut down. Note that a different default message may be displayed if the DMS controller was shut down due to a controller software reset command or a momentary power loss (see below). The duration of time which constitutes a momentary power loss is user-defined.
  - The default message to be displayed after a DMS Bootup is currently a **blank** message.
- **Sign Display After a Momentary Power Loss**
  - If the elapsed time is less than the defined time duration, for example, one second, the DMS can be configured to display a default message. The default message to be displayed can be a blank message, a specific defined message, or the last message commanded before the DMS momentarily lost power.
  - The current default message to be displayed after a Momentary Power Loss shall remain the **current** message, and the defined time duration shall be **1 second**. The assumption is that if the DMS momentarily loses electrical power for less than 1 second, the message should not change from what is currently displayed before the momentary power loss.<sup>36</sup>



# Detailed Design Report

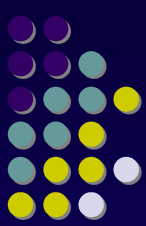
- Detailed Requirements (C2C)
  - System Functions
    - Normal Operations
    - Abnormal Conditions
  - Specific Messages
  - Message Dialogs & Relation to System Functions
  - Monitoring
  - Installation and Testing

# Example Dialogs for C2C





# Advanced Detailed Plans



- High Level Design (C2F)
  - Build on ConOps Information, and add
  - Profile Implementation Conformance Specifications (PICS)
  - MIB Objects Specification
  - Example MIB

An example is provided in the “Best Practices Report”

# Advanced Detailed Plans – Example PICS



Requirements ID	Functional Requirement	Project Requirement
1.0	Manage the DMS Configuration	
1.1	Identify DMS	
1.1.1	Determine Sign Type and Technology - The DMS shall allow a management station to determine its type (such as DMS, CMS, BOS, portable) and technology (such as LED, Fiber optic, bulb, hybrid).	dmsSignType(5 - vmsLine) dmsSignTechnology(1 - LED)
1.2	Determine Message Display Capabilities	
1.2.1	Determine Basic Message Display Capabilities	
1.2.1.1	Determine the Size of the Sign Face - The DMS shall allow a management station to determine the height and width of the sign face.	
1.2.1.2	Determine the Size of the Sign Border - The DMS shall allow a management station to determine the size of the horizontal and vertical border around the sign face.	



# Advanced Detailed Plans

- **High Level Design (C2C)**
  - Focus on selection of Messages and Dialogs that support the project requirements
  - Preliminary selection of protocol
  - Identification of Application Profile
  - Profile Implementation Conformance Spec (PICS) derived from the Profile Requirements List
    - Based on NTCIP 2306 Solutions Bundles
    - Message Encoding (SOAP / XML) & Transport



# Plans, Specifications & Estimates

- Detailed Requirements (C2F)
  - Final determination of functions the device must support
  - Final determination of optional elements that are mandatory for this project
  - Complete PICs and optionally a sample MIB

An example is provided in the “Best Practices Report”

# Plans, Specifications & Estimates – Example MIB



- -- 1.2 SIGN CONFIGURATION AND CAPABILITY OBJECTS
- dmsSignCfg OBJECT IDENTIFIER ::= { dms 1 }
  
- -- This node is an identifier used to group all objects for DMS sign
- -- configurations that are common to all DMS devices.
- -- 1.2.1 Sign Access Parameter
- dmsSignAccess OBJECT-TYPE
- SYNTAX INTEGER (0..255)
- ACCESS read-only
- STATUS optional
- DESCRIPTION
- "<Definition> Indicates the access method to the sign. Methods that are defined are:
- Bit 0- Other
- Bit 1- Walk-in access
- Bit 2- Rear access
- Bit 3- Front access
- If a bit is set to one (1), then the associated feature exists; if the bit is set to zero (0), then the associated feature does not exist.
  
- <DescriptiveName>DMS.signAccess:code
  
- <DataConceptType>Data Element"
- ::= { dmsSignCfg 1 }
  
- -- 1.2.2 Sign Type Parameter
- dmsSignType OBJECT-TYPE
- SYNTAX INTEGER{
- other (1),
- bos (2)



# Plans, Specifications & Estimates

- Detailed Requirements (C2C)
  - Final determination of optional elements in the messages that are mandatory for this project
  - Final determination of protocol
  - Completed WSDL Worksheet, PICs, and optionally sample messages and WSDL

An template is provided in the “Best Practices Report”

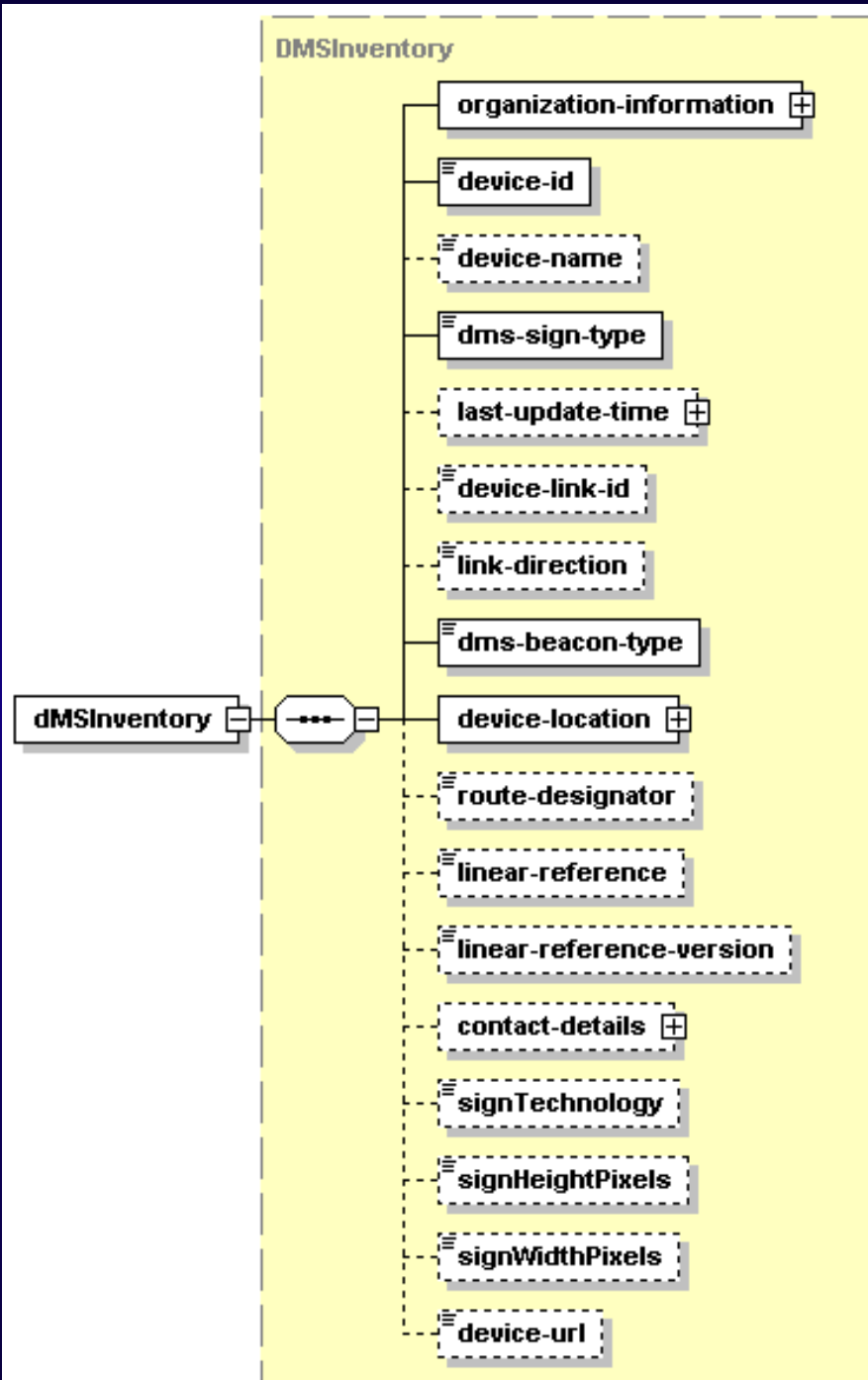
# Example C2C XML Message Template Showing Optional and Mandatory Data Elements

*Agencies need to determine which optional elements are mandatory!*

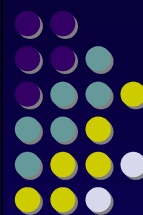
## Legend

mandatory

optional



# Example C2C Message



```
<dMSInventory>
  <device-list>
    <device>
      <organization-information>
        <organization-id>
          tmc.dot.state.org
        </organization-id>
        <organization-name>
          The State DOT
        </organization-name>
      </organization-information>
      <operator-id>0</operator-id>
      <device-id>22</device-id>
      <device-name>22.dms.dot.state.org</device-name>
      <dms-sign-type>variable message sign</dms-sign-type>
      <device-location>
        <latitude>XXXXXXXXXX</latitude>
        <longitude>YYYYYYYYYY</longitude>
      </device-location>
    </device>
    <!-- Continue with additional devices -->
  </device-list>
</dMSInventory>
```

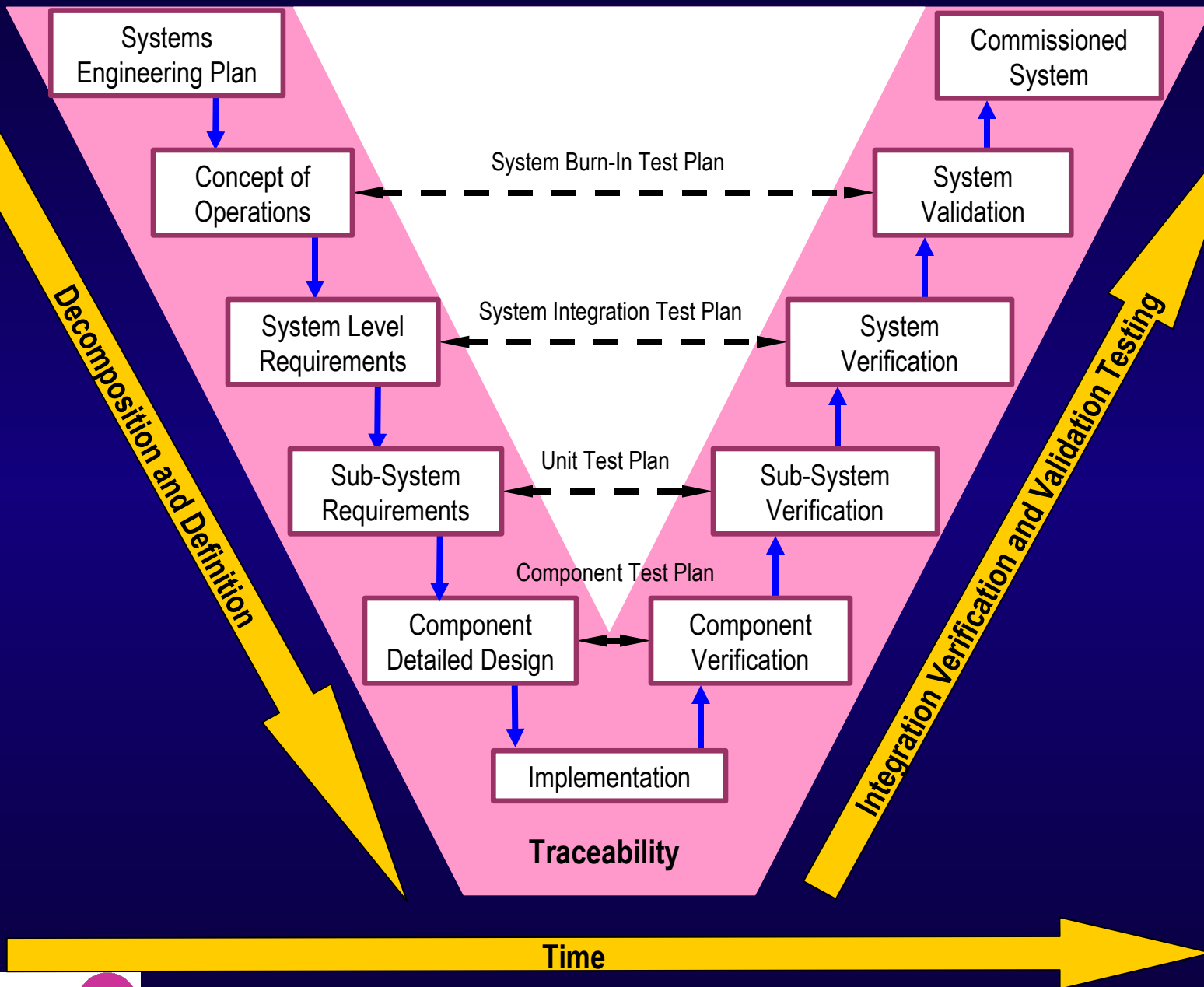




# Standards Testing



# Systems Engineering Process Model



# Standards Testing



- **Types of Testing (Center-to-Center)**
  - Dialogs are implemented as specified
  - System can exchange messages
  - System can extract data elements from message content

# Standards Testing



- **Types of Testing (Center-To-Field)**
  - **Hardware Tests**
    - Electrical, Mechanical
  - **Environmental Tests**
    - Temperature, Humidity, Vibration
  - **Functional Tests**
    - Does it do what I expect it to do?
  - **Performance Tests**
    - Does it respond or function in a timely manner?
  - **Standards Conformance Tests**

# Standards Testing



- ITS Standards are NOT functional requirements.
  - The data “objects” and messages defined **SUPPORTS** the functional requirements.
- Functional Testing
  - Tests if the device “complies” with the functional requirements.
- Standards Testing
  - Tests if the device “conforms” with the specified standard



# Standards Testing

- **Conformance**
  - To claim “Conformance” to a Standard, the vendor must minimally satisfy the mandatory requirements as identified in the Standard.
  - In addition, a conformant device may offer additional (optional) features, as long as they are conformant with the requirements of the Standard and the standards it references.



# Standards Testing

- Testing Tools
  - MIB Compiler
  - MIB Viewer
  - SNMP Manager Software
  - Device Simulator (for example, SNMP Agent running on a PC)
  - NTCIP Exerciser
    - SNMP Manager
    - Device Simulator

# Standards Testing



- **Certification**
  - ITS standards do not certify, nor provide a way to certify, a device or manufacturer
  - Certification is ideal for public sector, but there are issues:
    - Each unit is different
    - Who certifies the certifiers?
  - Considering Conformance Statements





# Your Comments about the Workshop??





# ITS Standards Discussion Support Charts



# Highlight of Key ITS Standards (Center-To-Center)



ITS Functional Area	SDO	Message Set / Protocol Name	Version Status
Traffic Management (Message Set)	ITE/AASHTO	Message Set for External TMC Communications	Version 2.1 Complete
Incident Management (Message Set)	IEEE	1512 BASE, 1512.1 (Traffic Incidents), 1512.2 (Public Safety), 1512.3 (HAZMAT)	Version 2 nearing completion or in ballot
Traveler Information (Message Set)	SAE	J2354 – ATIS Message Set	Version 2 under Development Complete
Transit (Message Set)	APTA	TCIP	Version 2.7 under development
Center-to-Center Communications (Message Encoding and Transport)	NTCIP	W3C Web Services Architecture-based (NTCIP 2306)	Version 1 in User Comment Draft development, being deployed in New York and Texas.
		DATEX ISO-14827 (NTCIP 2304)	Complete

# Highlight of Key ITS Standards (Center-To-Field)



ITS Functional Area	SDO	Version Status
Global Objects	NTCIP 1201	Version 2 Complete.
DMS	NTCIP 1203	Version 2 Balloted. VDOT, ENTERPRISE, ISTHA
ESS	NTCIP 1204	Version 2 Balloted.
TSS	NTCIP 1209	Version 1 in Ballot. Device support is uncertain.
DCM	NTCIP 1206	Version 1 Balloted and Complete. Device support is uncertain.
CCTV	NTCIP 1205	Version 2 Balloted and Complete. FDOT using in Florida.
Video Switch	NTCIP 1208	Version 1 is Balloted and Complete.
Ramp Meters	NTCIP 1207	Version 1 complete. Standard is being deployed in Utah.
Traffic Signal Controller	NTCIP 1202	Version 1 is complete. 2070 or ATC is required.
Field Management Stations	NTCIP 1210	Version 1 is Balloted.
Signal Prioritization	NTCIP 1211	Version 1 complete.
Network Camera Operation	NTCIP 1212	In draft.

# Highlight of Key ITS Standards (Supporting)



SDO	Version Status
NTCIP 2101	Point to Multi Point Protocol (PMPP) Using RS-232 Sub Network Profile
NTCIP 2102	Point to Multi Point Protocol (PMPP) Using Frequency Shift Key (FSK) Network Profile
NTCIP 2103	Point-to-Point Protocol (PPP) Over RS-232 Sub network Profile
NTCIP 2104	Ethernet Sub network Profile
NTCIP 2201	Transportation Transport Profile (“NULL” Transport Profile)
NTCIP 2202	Internet (TCIP/IP and UDP/IP) Transport Profile
NTCIP 2301	Simple Transportation Management Framework (STMF) Application Profile
NTCIP 2302	Trivial File Transfer Protocol (TFTP) Application Profile
NTCIP 2303	File Transfer Protocol (FTP) Application Profile
NTCIP 2304	DATEX-ASN Application Profile
NTCIP 2305	CORBA Application Profile
NTCIP 2306	Application Profile for XML in ITS Center-To-Center Communications